

**Algebraic K -theory and
special values of ζ - and L -functions.**

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Let k be a number field, i.e., for some $f(X)$ an irreducible polynomial of degree d in $\mathbb{Q}[X]$, and α be a root of $f(X)$ in \mathbb{C} ,

$$k = \mathbb{Q}(\alpha) = \{b_0 + b_1\alpha + \dots + b_{d-1}\alpha^{d-1}, \text{ all } b_j \text{ in } \mathbb{Q}\}.$$

Let \mathcal{O}_k be the ring of algebraic integers of k : $x \in k$ is an algebraic integer if it is the zero of a polynomial $X^n + a_{n-1}X^{n-1} + \dots + a_1X + a_0$ with all a_i in \mathbb{Z} .

Let r_1 the number of embeddings $k \rightarrow \mathbb{R}$, $2r_2$ the number of nonreal embeddings $k \rightarrow \mathbb{C}$, so $[k : \mathbb{Q}] = r_1 + 2r_2$.

\mathcal{O}_k^* has rank $r = r_1 + r_2 - 1$. Let $\sigma_1, \dots, \sigma_{r+1}$ be the embeddings of k into \mathbb{C} up to complex conjugation.

If u_1, \dots, u_r form a \mathbb{Z} -basis of $\mathcal{O}_k^*/\text{torsion}$, let

$$R = \frac{2^{r_2}}{[k : \mathbb{Q}]} \left| \begin{array}{cccc} 1 & \log |\sigma_1(u_1)| & \dots & \log |\sigma_1(u_r)| \\ \vdots & \vdots & & \vdots \\ 1 & \log |\sigma_{r+1}(u_1)| & \dots & \log |\sigma_{r+1}(u_r)| \end{array} \right|$$

The ζ -function of k is defined by

$$\zeta_k(s) = \sum_{\substack{(0) \neq I \subset \mathcal{O}_k \\ I \text{ an ideal of } \mathcal{O}_k}} (\#\mathcal{O}_k/I)^{-s} = \prod_{\substack{0 \neq \mathcal{P} \subset \mathcal{O}_k \\ \mathcal{P} \text{ prime ideal}}} \frac{1}{1 - (\#\mathcal{O}_k/\mathcal{P})^{-s}}.$$

The residue of $\zeta_k(s)$ at $s = 1$ is $\frac{2^{r_1} (2\pi)^{r_2} R |\text{Cl}(\mathcal{O}_k)|}{w \sqrt{\Delta_k}}$, with $w = |\mathcal{O}_{k,\text{tor}}^*|$ the number of roots of unity in k , and Δ_k the absolute value of the discriminant of k .

This is a statement about algebraic K -theory:

$K_0(\mathcal{O}_k) \cong \mathbb{Z} \oplus \text{Cl}(\mathcal{O}_k)$ and $K_1(\mathcal{O}_k) \cong \mathcal{O}_k^*$, so
 $|\text{Cl}(\mathcal{O}_k)| = |K_0(\mathcal{O}_k)_{\text{tor}}|$ and $w = |K_1(\mathcal{O}_k)_{\text{tor}}|$.

Algebraic K -theory

Let R (for simplicity) be a commutative ring with identity $1 \neq 0$.

$$K_0(R) = \frac{\text{free Abelian group on } [M], M \text{ a finitely generated projective } R\text{-module}}{\left\langle [M] - [M'] - [M''] \text{ for each exact sequence } \begin{array}{c} 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0 \end{array} \right\rangle}.$$

View $GL_n(R) \subset GL_{n+1}(R)$ via $A \mapsto \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$.

Let $GL(R) = \bigcup_n GL_n(R)$.

$$K_1(R) = GL(R)/[GL(R), GL(R)]$$

If F is a field, then we have in general

$$\begin{aligned} K_0(F) &\cong \mathbb{Z} \\ K_1(F) &\cong F^* = F \setminus \{0\} \\ K_2(F) &\cong F^* \otimes_{\mathbb{Z}} F^* / \langle x \otimes (1-x), x \in F^* \setminus \{1\} \rangle. \end{aligned}$$

The class of $a \otimes b$ in $K_2(F)$ is denoted $\{a, b\}$, so that $K_2(F)$ is generated by symbols $\{a, b\}$ (a, b in F^*) with rules

$$\begin{aligned} \{a_1 a_2, b\} &= \{a_1, b\} + \{a_2, b\} \\ \{a, b_1 b_2\} &= \{a, b_1\} + \{a, b_2\} \\ \{x, 1-x\} &= 0. \end{aligned}$$

It follows that $\{a, b\} + \{b, a\} = \{x, -x\} = 0$.

$$\text{For a finite field } \mathbb{F}_q: \begin{cases} K_{2n-1}(\mathbb{F}_q) \cong \mathbb{Z}/(q^n - 1) \\ K_{2n}(\mathbb{F}_q) = 0 \end{cases} \quad (n \geq 1).$$

Example

$$K_2(\mathbb{Q}) \cong \{\pm 1\} \oplus \bigoplus_{\substack{p \text{ prime} \\ p > 2}} (\mathbb{Z}/p)^*.$$

The isomorphism is given on the p -component by the tame symbol: for a prime p and a in \mathbb{Q}^* write $a = \frac{u}{v} p^s$ with integers u, v not divisible by p . Set $v_p(a) = s$. Then

$$\{a, b\} \mapsto T_p(\{a, b\}) = (-1)^{v_p(a)v_p(b)} \frac{a^{v_p(b)}}{b^{v_p(a)}} \pmod{p}.$$

The map T_2 to $\{\pm 1\}$ is given as follows.

For $\{a, b\}$ write $a = (-1)^i 2^j 5^k \frac{c}{d}$ with $i, k = 0, 1$ and c, d integers congruent 1 mod 8, $b = (-1)^I 2^J 5^K \frac{c'}{d'}$ similarly. Then

$$T_2(\{a, b\}) = (-1)^{iI+jK+kJ}.$$

There is another map

$$T_\infty : K_2(\mathbb{Q}) \rightarrow \{\pm 1\}, \quad \{a, b\} \mapsto \begin{cases} -1 & \text{if } a, b < 0, \\ 1 & \text{otherwise.} \end{cases}$$

Identify $\{\pm 1\} \subset (\mathbb{Z}/p)^*$ for all primes $p > 2$.

Theorem $T_\infty(\{a, b\}) = T_2(\{a, b\}) \prod_{\substack{p > 2 \\ p \text{ prime}}} T_p(\{a, b\})^{\frac{p-1}{2}}.$

This gives rise to a proof of quadratic reciprocity. Let p and q be distinct odd primes, and put $\left(\frac{p}{q}\right)$ equal to 1 if p is a square modulo q , -1 if not. Equivalently,

$$\left(\frac{p}{q}\right) = p^{\frac{q-1}{2}} \bmod q = T_q(\{p, q\})^{\frac{q-1}{2}}$$

The theorem says that

$$\begin{aligned} 1 &= T_2(\{p, q\})T_p(\{p, q\})^{\frac{p-1}{2}}T_q(\{p, q\})^{\frac{q-1}{2}} \\ &= (-1)^{\frac{p-1}{2} \cdot \frac{q-1}{2}} \left(\frac{q}{p}\right) \left(\frac{p}{q}\right). \end{aligned}$$

Borel's theorem

Let k be a number field as before (with notation as before).

$K_n(\mathcal{O}_k)$ is finitely generated for all $n \geq 0$. Let m_n be the rank of $K_n(\mathcal{O}_k)$.

Theorem (Borel) $K_{2n}(\mathcal{O}_k)$ is a finite group if $n \geq 1$. $K_{2n-1}(\mathcal{O}_k)$ has rank $m_{2n-1} = r_1 + r_2$ if n is odd, and has rank $m_{2n-1} = r_2$ if n is even ($n \geq 2$).

Furthermore, there exists a natural regulator map

$$K_{2n-1}(\mathcal{O}_k) \rightarrow \mathbb{R}^{m_{2n-1}}$$

such that the image is a lattice with volume of a fundamental domain

$$V_n \sim_{\mathbb{Q}^*} \frac{\zeta_k(n)}{\pi^{n([k:\mathbb{Q}]-m_{2n-1})} \sqrt{\Delta_k}}$$

where Δ_k is the absolute value of the discriminant of k .

[$a \sim_{\mathbb{Q}^*} b$ means $a = qb$ for some q in \mathbb{Q}^* .]

Example $\zeta_{\mathbb{Q}}$ is the Riemann zeta function. For $n \geq 2$:

$K_{2n-1}(\mathbb{Z})$ is torsion for n even;

$K_{2n-1}(\mathbb{Z})$ has rank 1 for n odd, and $V_n \sim_{\mathbb{Q}^*} \zeta(n)$.

n	2	3	4	5	6	7	...
m_{2n-1}	0	1	0	1	0	1	...
$\zeta(n)$	$\pi^2/6$	irrational	$\pi^4/90$???	$\pi^6/945$???	...

Generalizations: curves.

For simplicity, take E to be an elliptic curve defined over \mathbb{Q} , e.g., defined in $\mathbb{P}_{\mathbb{Q}}^2$ by a Weierstraß equation

$$Y^2Z = X^3 + AXZ^2 + BZ^3$$

with A, B in \mathbb{Z} , and $-4A^3 - 27B^2 \neq 0$.

Let p be a prime number such that E has good reduction E_p at p . (E.g., in the above example, if $p \neq 2, 3$ and p does not divide $-4A^3 - 27B^2$, then $Y^2Z = X^3 + \overline{A}XZ^2 + \overline{B}Z^3$ defines an elliptic curve E_p in $\mathbb{P}_{\mathbb{F}_p}^2$.) Put $a_p = 1 + p - |E_p(\mathbb{F}_p)|$ and

$$L_p(E, s) = \frac{1}{1 - a_p p^{-s} + p^{1-2s}}.$$

There is also a definition if E has bad reduction at p . Then

$$L(E, s) = \prod_{p \text{ prime}} L_p(E, s) \text{ for } \operatorname{Re}(s) > \frac{3}{2}.$$

[Cf. $\zeta(s) = \prod_p \frac{1}{1-p^{-s}}$ for $\operatorname{Re}(s) > 1$.]

Let $E_{\mathbb{C}}$ be the extension of the coefficients to \mathbb{C} . (E.g., in the above example, consider the equation in $\mathbb{P}_{\mathbb{C}}^2$.) Let F be the field of meromorphic functions on $E_{\mathbb{C}}$. There is an exact localization sequence

$$K_2(E_{\mathbb{C}}) \rightarrow K_2(F) \rightarrow \prod_{x \in E_{\mathbb{C}}} \mathbb{C}^*$$

where the x -component of the last map is the tame symbol again: write $\operatorname{ord}_x(f)$ is the order of vanishing of f at x , then

$$\{f, g\} \mapsto (-1)^{\operatorname{ord}_x(f) \operatorname{ord}_x(g)} \frac{f^{\operatorname{ord}_x(g)}}{g^{\operatorname{ord}_x(f)}} \Big|_x.$$

For two nonzero meromorphic functions f and g on $E_{\mathbb{C}}$, $\log |f| d \arg g - \log |g| d \arg f$ is a closed 1-form on an open part of $E_{\mathbb{C}}$. Because

$$\log |z| d \arg(1-z) - \log |1-z| d \arg z = dP_2(z),$$

where $P_2(z)$ is a C^∞ -function on $\mathbb{C} \setminus \{0, 1\}$, we get a map

$$\begin{aligned} \operatorname{reg} : K_2(F) &\rightarrow \left\{ \frac{\text{closed 1-forms on open parts}}{\text{exact 1-forms on open parts}} \right\} \\ \{f, g\} &\mapsto \log |f| d \arg g - \log |g| d \arg f. \end{aligned}$$

This fits into a commutative diagram

$$\begin{array}{ccccccc} & & K_2(E) & & & & \\ & & \downarrow & & & & \\ & & K_2(E_{\mathbb{C}}) & \longrightarrow & K_2(F) & \longrightarrow & \prod_{x \in E_{\mathbb{C}}} \mathbb{C}^* \\ & & \vdots & & \downarrow & & \downarrow \\ & & \operatorname{reg} & & \operatorname{reg} & & \log |\cdot| \\ & & \vdots & & \downarrow & & \downarrow \\ 0 & \longrightarrow & H_{\text{dR}}^1(E_{\mathbb{C}}; \mathbb{R}) & \longrightarrow & H_{\text{dR}}^1(F; \mathbb{R}) & \xrightarrow{i \cdot \operatorname{res}} & \prod_{x \in E_{\mathbb{C}}} \mathbb{R} \end{array}$$

with $H_{\text{dR}}^1(F; \mathbb{R}) = \varinjlim_{U \subset E_{\mathbb{C}}} H_{\text{dR}}^1(U; \mathbb{R})$ where all U are such that $E_{\mathbb{C}} \setminus U$ is finite.

Theorem (Bloch) Let E be an elliptic curve defined over \mathbb{Q} , with complex multiplication. Then there exists an element α in $K_2(E)$ with

$$L'(E, 0) \sim_{\mathbb{Q}^*} \frac{1}{2\pi} \int_{E_{\mathbb{C}}} \text{reg}(\alpha) \wedge \omega$$

or, using the functional equation for the L -function:

$$\frac{1}{2\pi} L(E, 2) \sim_{\mathbb{Q}^*} \int_{E_{\mathbb{C}}} \text{reg}(\alpha) \wedge \omega.$$

[ω is a nonzero holomorphic form on $E_{\mathbb{C}}$ with $\int_{E(\mathbb{R})} \omega = 1$.]

(Part of) Beilinson's conjectures

Let C/\mathbb{Q} be a complete nonsingular curve such that $C_{\mathbb{C}}$ is a Riemann surface of genus g . Beilinson defines a regulator map ($n \geq 1$)

$$K_{2n}(C) \rightarrow H_{\text{dR}}^1(C_{\mathbb{C}}; \mathbb{R}(n))^+ (\cong \mathbb{R}^g).$$

[$\mathbb{R}(n) = (2\pi i)^n \mathbb{R} \subset \mathbb{C}$; + indicates a subspace invariant with respect to an action of complex conjugation.] Then Beilinson conjectures

- (i) $K_{2n}(C)_{\mathbb{Q}} \cong \mathbb{Q}^g$ and the regulator induces an isomorphism $K_{2n}(C)_{\mathbb{R}} \cong H_{\text{dR}}^1(C_{\mathbb{C}}; \mathbb{R}(n))^+$.
[$_{\mathbb{Q}}$ (resp. $_{\mathbb{R}}$) means $\otimes_{\mathbb{Q}}$ (resp. $\otimes_{\mathbb{R}}$).]
- (ii) Let $\{a_1, \dots, a_g\}$ be a \mathbb{Q} -basis of $K_{2n}(C)_{\mathbb{Q}}$, and let A be the transition matrix from $\{a_1, \dots, a_g\}$ to a \mathbb{Q} -basis of $H_{\text{sing}}^1(C_{\mathbb{C}}; \mathbb{Q}(n))^+ \subset H_{\text{dR}}^1(C_{\mathbb{C}}; \mathbb{R}(n))^+$. Then, assuming the L -function can be extended meromorphically,

$$L^*(C, 1 - n) \sim_{\mathbb{Q}^*} \det(A),$$

where $L^*(C, b)$ is the first nonvanishing coefficient in the expansion of $L(C, s)$ around $s = b$.

[Using the functional equations for $\zeta_k(s)$ and $L(E, s)$ the previous results come in this form.]

There are several results in this direction, e.g.:

- (i) Relation between the regulator of a specific element in K -theory and the corresponding L -function for the product of two modular curves (Beilinson).
- (ii) Relation of certain parts of the K -theory of cyclotomic fields and Dirichlet L -functions (Beilinson).
- (iii) Precise conjecture about the rational numbers involved in the Beilinson conjecture (Bloch–Kato), with various results.
- (iv) Generalization of Bloch's result to p -adic regulator and p -adic L -function (Coleman–de Shalit).
- (v) Generalization of Bloch's result for CM elliptic curves over \mathbb{Q} to all $K_{2n}(E)$ ($n \geq 2$) (Deninger).