

Bounding the kernel of the tame symbol on curves

Rob de Jeu

<http://www.few.vu.nl/~jeu>

Department of Mathematics

VU University Amsterdam

Amsterdam

The Netherlands

K_2 of a field

For a field F let $F^\flat = F \setminus \{0, 1\}$. Then

$$K_2(F) = F^* \otimes_{\mathbb{Z}} F^* / \langle x \otimes (1 - x) \mid x \in F^\flat \rangle$$

is an Abelian group **written additively** with

generators $\{a, b\} =$ the class of $a \otimes b$

relations $\{a_1 a_2, b\} = \{a_1, b\} + \{a_2, b\}$

$$\{a, b_1 b_2\} = \{a, b_1\} + \{a, b_2\}$$
$$\{a, 1 - a\} = 0 \text{ if } a \text{ is in } F^\flat$$

Then also $\{a, b\} = -\{b, a\}$ and $\{c, -c\} = 0$ for a, b, c in F^* .

So if $A + B = C$ with A, B, C in F and $A, B \neq 0$, then

$$\{A, B\} = 0 \text{ if } C = 0 \quad \{A/C, B/C\} = 0 \text{ if } C \neq 0.$$

$K_2(\mathbb{Q})$

$$K_2(\mathbb{Q}) \xrightarrow{T} \bigoplus_{p \text{ prime}} \mathbb{F}_p^* \text{ with } \ker(T) = \langle \{-1, -1\} \rangle (\cong \mathbb{Z}/2\mathbb{Z})$$

Here T is the **tame symbol**, defined by

$$T_p(\{a, b\}) = (-1)^{v_p(a)v_p(b)} \frac{a^{v_p(b)}}{b^{v_p(a)}} \text{ modulo } p$$

More precisely, for q prime or -1 , let

$$F_q = \langle \{a, b\} \text{ with } a, b \in \{-1, 2, 3, 5, 7, 11, \dots, q\} \rangle \subseteq K_2(\mathbb{Q})$$

Then

$$F_q/F_{q'} \xrightarrow{\cong} \mathbb{F}_q^* \text{ via } T_q \quad (q \geq 2)$$

with q' the **subprime** of q (= one prime smaller) ($2' = -1$)

Proof Let $q \geq 2$

• **surjectivity:** $\{i, q\} \mapsto \bar{i} \in \mathbb{F}_q^* \quad (i = 1, \dots, q-1)$

• injectivity: the kernel of $F_q \xrightarrow{T_q} \mathbb{F}_q^*$ is $F_{q'}: F_{q'} \subseteq \ker(T_q)$: **clear**;

if $q = 2$ then $F_2 = F_{-1}$ as $\{2, 2\} = \{2, -1\} = \{-1, 2\} = 0$

if $q > 2$ then $F_q/F_{q'}$ is generated by the classes of

$\{a, q\} - \{b, q\}$ with $a, b \in M_q \stackrel{\text{def}}{=} \{-1, 1, 2, 3, 4, 5, \dots, q-1\}$

If $a_1, a_2 \in M_q$ then $\{a_1, q\} + \{a_2, q\} \stackrel{F_{q'}}{\equiv} \{a_3, q\}$ for $a_3 \in M_q$:

division with remainder gives $a_1 a_2 - a_3 = qA$ with

$a_3 = 1, 2, \dots, q-1 \in M_q$ and $A = -1, 0, 1, \dots, q-2$, and

if $A = 0$: $a_1 a_2 = a_3$ **so clear**;

if $A \neq 0$: $0 = \left\{ \frac{a_1 a_2}{qA}, \frac{a_3}{-qA} \right\} \stackrel{F_{q'}}{\equiv} \{a_3, q\} - \{a_1, q\} - \{a_2, q\}$.

So $F_q/F_{q'} = \{\{a, q\} - \{b, q\} \text{ with } a, b \in M_q\}$

Finally, if $T_q(\{a, q\}) = T_q(\{b, q\})$ for $a, b \in M_q$ then

$a - b = 0, \pm q$ and $\{a, q\} \equiv \{b, q\}$ modulo $F_{q'}$ as before

$K_2(k(x))$

If k is a field and x a variable then one can treat $K_2(k(x))$ similarly using **division with remainder in $k[x]$** :

if $d = \deg(f(x)) \geq d' = \deg(g(x))$ for $f(x), g(x) \neq 0$, then

$$\text{Pol}_{\leq 0} \times \text{Pol}_{\leq d-d'} \times \text{Pol}_{\leq d'-1} \rightarrow \text{Pol}_{\leq d}$$
$$(c, q, r) \mapsto cf - qg - r$$

is a k -linear map with non-trivial kernel:

$$1 + (d - d' + 1) + d' > d + 1$$

If $(c, q, r) \neq 0$ is in this kernel then $c \neq 0$ because of degrees, so the kernel has basis $\{(1, q/c, r/c)\}$.

A useful lemma

The Euclidean algorithm in \mathbb{Z} converges faster if we allow negative remainders: $a = qb + r$ with $|r| \leq |b|/2$

So(?) in $k[x]$ it “converges faster” if we allow

$a(x) = q(x)b(x) + r(x)$ with “ $|\deg(r(x))| \leq |\deg(b(x))|/2$ ”

This inspired the

VW-lemma Let K/k be a finite extension of fields, $V, W \subseteq K$ k -subspaces with $\dim_k(V) + \dim_k(W) > \dim_k(K) = [K : k]$.

Then $K^* = \{vw^{-1} \text{ with } v \in V^*, w \in W^*\}$ where $V^* = V \setminus \{0\}$ and $W^* = W \setminus \{0\}$.

Example $\mathbb{Q}[\sqrt[3]{2}]^* = \left\{ a_1 + a_2\sqrt[3]{2} + a_3\sqrt[3]{2}^2 \right\}^* = \left\{ \frac{b_1 + b_2\sqrt[3]{2}^2}{c_1 + c_2\sqrt[3]{2}} \right\},$

where all $a_i, b_j, c_l \in \mathbb{Q}$, and $b_1 + b_2\sqrt[3]{2}^2, c_1 + c_2\sqrt[3]{2} \neq 0$

A useful lemma

The Euclidean algorithm in \mathbb{Z} converges faster if we allow negative remainders: $a = qb + r$ with $|r| \leq |b|/2$

So(?) in $k[x]$ it “converges faster” if we allow

$a(x) = q(x)b(x) + r(x)$ with “ $|\deg(r(x))| \leq |\deg(b(x))|/2$ ”

This inspired the

VW-lemma Let K/k be a finite extension of fields, $V, W \subseteq K$ k -subspaces with $\dim_k(V) + \dim_k(W) > \dim_k(K) = [K : k]$.

Then $K^* = \{vw^{-1} \text{ with } v \in V^*, w \in W^*\}$ where $V^* = V \setminus \{0\}$ and $W^* = W \setminus \{0\}$.

Example $\mathbb{Q}[\sqrt[3]{2}]^* = \left\{ a_1 + a_2\sqrt[3]{2} + a_3\sqrt[3]{2}^2 \right\}^* = \left\{ \frac{b_1 + b_2\sqrt[3]{2}^2}{c_1 + c_2\sqrt[3]{2}} \right\}$,

where all $a_i, b_j, c_l \in \mathbb{Q}$, and $b_1 + b_2\sqrt[3]{2}^2, c_1 + c_2\sqrt[3]{2} \neq 0$

Proof of the VW-lemma

For $\beta \in K^*$ we have $V \cap \beta W \neq \{0\}$ because of dimensions.

The tame symbol on a curve

Let C/k be a smooth, proper, geometrically irreducible curve over a **number field** k , $F = k(C)$, and $g = \text{genus}(C)$. According to **Beilinson**

$$K_2(F) \xrightarrow{T} \bigoplus_{P \in C^{(1)}} k(P)^* \xrightarrow{\text{Nm}} k^*$$

should become exact after tensoring with \mathbb{Q} . Here

- $C^{(1)}$ = set of closed points in C
- $\text{Nm} = \prod_P \text{Nm}_{k(P)/k}$
- T is the tame symbol, defined via

$$T_P(\{f_1, f_2\}) = (-1)^{\text{ord}_P(f_1)\text{ord}_P(f_2)} \frac{f_1^{\text{ord}_P(f_2)}}{f_2^{\text{ord}_P(f_1)}} \Big|_P$$

Example $E : y^2 = x^3 + x - 1$, $P = \{x = 0\} \cap E \setminus \{O\}$, so $\mathbb{Q}(P) = \mathbb{Q}(i)$. For q a prime number with $q \equiv 1$ modulo 4 write $q = \alpha\bar{\alpha}$ in $\mathbb{Z}[i]$. Then for some $n > 0$, $\left(\frac{\bar{\alpha}}{\alpha}\right)^n \Big|_P \in \text{im}(T)$?

Beilinson(+Deligne+Bass) also expect: $\ker(T)/K_2(k)$ is a finitely generated group of rank $[k : \mathbb{Q}] \cdot g + \delta$ where δ depends on the primes of bad reduction of C

So it would be good to control $\text{coker}(T)$ and $\ker(T)$

Assume k is an **arbitrary field**, and C/k is smooth, proper, geometrically irreducible with genus g .

Assume we have a rational point $O \in C(k)$ and let

$$RR_n = L(n(O)) = H^0(C, \mathcal{O}(n(O)))$$

$$RR_n^* = RR_n \setminus \{0\}$$

$$S = \{O\} \cup \{P \in C^{(1)} \text{ such that } f(P) = 0 \text{ for some } f \in RR_{2g}^*\}$$

$$S' = \{O\} \cup \{P \in C^{(1)} \text{ such that } f(P) = 0 \text{ for some } f \in RR_{3g}^*\}$$

The cokernel of T

Proposition The restriction of the tame symbol

$$K_2(F) \xrightarrow{T_S} \bigoplus_{P \in C^{(1)} \setminus S} k(P)^*$$

is surjective, so $\text{coker}(T)$ is generated by $\bigoplus_{P \in S} k(P)^*$.

Idea of proof Induction on $\deg(P)$. For the initial step: if $P \notin S$, $\deg(P) \leq 2g$, and $\beta \in k(P)^*$, then $RR_{2g} \rightarrow k(P)$, $f \mapsto f(P)$ is injective, so there exist f_i in RR_{2g}^* with $f_1(P)/f_2(P) = \beta$ (**VW-lemma!**), and $T_S(\{f_1/f_2, f_P\}) = \beta|_P$ for a suitable f_P .

Example If E/k is an elliptic curve defined by a Weierstrass equation $y^2 + a_1xy + a_3 = x^3 + a_2x^2 + a_4x + a_6$, then $RR_2 = k \oplus kx$ and $S = \{O\} \cup \{\{x = c\} \text{ for } c \in k\}$

The kernel of T

Controlling the kernel of T is more involved (just as for \mathbb{Q}).

Division with remainder in $k[x]$ generalizes to the non-triviality of kernels of the form

$$L(D_1) \times L(D_2) \times L(D_3) \rightarrow L(D_4)$$

$$(f_1, f_2, f_3) \mapsto g_1 f_1 + g_2 f_2 + g_3 f_3$$

for suitable divisors D_i on C , where $L(D) = H^0(C, \mathcal{O}(D))$.

Let

$$C_{\leq d}^{(1)} = \{P \in C^{(1)} \text{ with } \deg(P) \leq d\}$$

and similarly for $C_d^{(1)}$.

The kernel and cokernel of T

Proposition Let $F_d = \langle \{f_1, f_2\} \text{ with } |(f_i)| \subseteq C_{\leq d}^{(1)} \rangle$. If $d \geq 3g + 1$, then $F_d/F_{d-1} \cong \bigoplus_{O \neq P \in C_d^{(1)}} k(P)^*$, and the inclusions give a quasi-isomorphism

$$\begin{array}{ccc} F_{3g} & \xrightarrow{T} & \bigoplus_{P \in \{O\} \cup C_{\leq 3g}^{(1)}} k(P)^* \\ & & \downarrow \\ K_2(F) & \xrightarrow{T} & \bigoplus_{P \in C^{(1)}} k(P)^* \end{array}$$

The kernel and cokernel of T

Proposition Let $F_d = \langle \{f_1, f_2\} \text{ with } |(f_i)| \subseteq C_{\leq d}^{(1)} \rangle$. If $d \geq 3g + 1$, then $F_d/F_{d-1} \cong \bigoplus_{O \neq P \in C_d^{(1)}} k(P)^*$, and the inclusions give a quasi-isomorphism

$$\begin{array}{ccc} F_{3g} & \xrightarrow{T} & \bigoplus_{P \in \{O\} \cup C_{\leq 3g}^{(1)}} k(P)^* \\ & & \downarrow \\ K_2(F) & \xrightarrow{T} & \bigoplus_{P \in C^{(1)}} k(P)^* \end{array}$$

Proposition If $g \geq 1$, and $L_{3g} = \langle \{f_1, f_2\} \text{ with } f_i \in RR_{3g}^* \rangle$, then the inclusions give a quasi-isomorphism

$$\begin{array}{ccc} L_{3g} & \xrightarrow{T} & \bigoplus_{P \in S'} k(P)^* \\ & & \downarrow \\ K_2(F) & \xrightarrow{T} & \bigoplus_{P \in C^{(1)}} k(P)^* \end{array}$$

A computational example

So for an elliptic curve E given by a Weierstrass equation,
 $\ker(T) \subseteq \langle \{l, m\} \text{ with } l, m \text{ of the form } ax + by + c \text{ (} a, b, c \in k) \rangle$

If $k = \mathbb{Q}$ we only need such $ax + by + c$ with a, b and c in \mathbb{Z}
and $\gcd(a, b, c) = 1$, or $a = b = 0, c = -1$ or a prime number.

Example $E : y^2 + xy + y = x^3 + x^2$

with conductor $286 = 2 \cdot 11 \cdot 13$ and $E(\mathbb{Q}) = \{O\}$

E has split multiplicative reduction at 2, 11 and 13 and
conjecturally $\ker(T)/K_2(\mathbb{Q})$ is finitely generated of rank 4.

By imposing a boundary condition at the primes of split
multiplicative reduction ("**integrality conditions**") we expect
to get a subgroup of rank 1 (**$\delta = 3$ here**)

There is a regulator $R \in \mathbb{R}$ for this subgroup and Beilinson
expects $R/L'(E, 0) \in \mathbb{Q}^*$

Using the "lines"

$$l_1 = x$$

$$l_2 = x - 1$$

$$l_3 = x + 1$$

$$l_4 = y$$

$$l_5 = y - 1$$

$$l_6 = y + 1$$

$$l_7 = y + x$$

$$l_8 = y - x$$

$$l_9 = y - x - 1$$

$$l_{10} = y - x + 1$$

$$l_{11} = y + x - 1$$

$$l_{12} = y + x + 1$$

$$l_{13} = y - x - 2$$

$$l_{14} = y - x + 2$$

$$l_{15} = y + x - 2$$

$$l_{16} = y + x + 2$$

$$l_{17} = y - 2x$$

$$l_{18} = y + 2x$$

$$l_{19} = y - 2x - 1$$

$$l_{20} = y - 2x + 1$$

$$l_{21} = y + 2x - 1$$

$$l_{22} = y + 2x + 1 \quad l_{23} = 2$$

all elements we get in $\ker(T)$ are "integral" at 11 and 13.

Using also 11, 13, and suitable lines one can hit all three "integrality obstructions", and $\ker(T)$ has rank at least 4.

To approximate $\langle \{l_i, l_j\} \rangle \subseteq K_2(\mathbb{Q}(E))$ we use a free Abelian group Gen on generators $\{l_i, l_j\}$ ($i < j$) with relations the kernel Rel of

$$\text{Gen} \rightarrow K_2(\mathbb{Q}(x, y)) \xrightarrow{\tilde{T}} \bigoplus_C \mathbb{Q}(D)^*$$

(where D runs through the irreducible curves in $\mathbb{A}_{\mathbb{Q}}^2$) since $\ker(\tilde{T}) = K_2(\mathbb{Q})$ is torsion. This gives

$$\text{Gen/Rel} \rightarrow K_2(F)/K_2(\mathbb{Q}) \xrightarrow{T} \bigoplus_{P \in E^{(1)}} \mathbb{Q}(P)^*$$

T + **integrality conditions** give a kernel in Gen/Rel of rank 31 **instead of 1** but numerically all regulators are integral multiples of $\frac{1}{12}L'(E, 0)$.

We ignored torsion in the $\mathbb{Q}(P)^*$ that occur so may have to multiply everything by 12 to be in $\ker(T)$.

For example, the conjectures suggest that, modulo torsion,

- $2\{x, y\} + \{x + 1, y\} + 2\{x, x + y + 1\} + \{x + 1, x + y + 1\} \stackrel{?}{=} 0$
- $-2\{x, y + 1\} - 2\{x, y + x\} + \{x + 1, x - 1\} - \{x + 1, y + 1\} - \{x + 1, y + x\} + \{x - 1, y + 1\} + \{x - 1, y + x\} \stackrel{?}{=} 0$

For example, the conjectures suggest that, modulo torsion,

- $2\{x, y\} + \{x + 1, y\} + 2\{x, x + y + 1\} + \{x + 1, x + y + 1\} \stackrel{?}{=} 0$
- $-2\{x, y + 1\} - 2\{x, y + x\} + \{x + 1, x - 1\} - \{x + 1, y + 1\} - \{x + 1, y + x\} + \{x - 1, y + 1\} + \{x - 1, y + x\} \stackrel{?}{=} 0$

Herbert Gangl observed: many relations can be explained using **Steinberg relations** $\{u, 1 - u\} = 0$ coming from **linear triplet relations** $A_1A_2A_3 + B_1B_2B_3 = C_1C_2C_3$ on E with each A_i, B_i and C_i a "line": $u = \frac{A_1A_2A_3}{C_1C_2C_3}, 1 - u = \frac{B_1B_2B_3}{C_1C_2C_3}$.

E.g., $x^2(x + 1) - y(y + x + 1) = 1$ proves the first relation above (up to 2-torsion) since $\{x^2(x + 1), -y(y + x + 1)\} = 0$.

For example, the conjectures suggest that, modulo torsion,

- $2\{x, y\} + \{x + 1, y\} + 2\{x, x + y + 1\} + \{x + 1, x + y + 1\} \stackrel{?}{=} 0$
- $-2\{x, y + 1\} - 2\{x, y + x\} + \{x + 1, x - 1\} - \{x + 1, y + 1\} - \{x + 1, y + x\} + \{x - 1, y + 1\} + \{x - 1, y + x\} \stackrel{?}{=} 0$

Herbert Gangl observed: many relations can be explained using **Steinberg relations** $\{u, 1 - u\} = 0$ coming from **linear triplet relations** $A_1A_2A_3 + B_1B_2B_3 = C_1C_2C_3$ on E with each A_i, B_i and C_i a "line": $u = \frac{A_1A_2A_3}{C_1C_2C_3}, 1 - u = \frac{B_1B_2B_3}{C_1C_2C_3}$.

E.g., $x^2(x + 1) - y(y + x + 1) = 1$ proves the first relation above (up to 2-torsion) since $\{x^2(x + 1), -y(y + x + 1)\} = 0$.

There are about **765 really different linear triplet relations**. Replacing Rel with the resulting Steinberg relations then gives a kernel of rank 3 for T + **integrality conditions**.

An optimistic conjecture

One of the two still unexplained relations is

$$\begin{aligned} 10\{x, y\} + 5\{x + 1, y\} \stackrel{?}{=} & 3\{x, y\} + 6\{x, y + 1\} - 9\{x, y + x + 1\} \\ & + \{x, y - 2x\} - 6\{x + 1, y\} - 3\{x + 1, y - 1\} + 3\{x + 1, y + 1\} \\ & - 3\{x - 1, y\} + 3\{x - 1, y - 1\} - 3\{x - 1, y + 1\} \\ & - 6\{y - 1, y + x + 1\} + 3\{y - 1, y - 2x\} - 3\{x + y + 1, y - 2x\} \end{aligned}$$

An optimistic conjecture

One of the two still unexplained relations is

$$\begin{aligned}
 &10\{x, y\} + 5\{x + 1, y\} \stackrel{?}{=} 3\{x, y\} + 6\{x, y + 1\} - 9\{x, y + x + 1\} \\
 &+ \{x, y - 2x\} - 6\{x + 1, y\} - 3\{x + 1, y - 1\} + 3\{x + 1, y + 1\} \\
 &- 3\{x - 1, y\} + 3\{x - 1, y - 1\} - 3\{x - 1, y + 1\} \\
 &- 6\{y - 1, y + x + 1\} + 3\{y - 1, y - 2x\} - 3\{x + y + 1, y - 2x\}
 \end{aligned}$$

The calculations suggests the

Optimistic conjecture

Let k be any field, E/k an elliptic curve, $O \in E(k)$, $F = k(E)$,

and $LF^* = \langle RR_3^* \rangle \subseteq F^*$ ($RR_3 = H^0(E, \mathcal{O}(3(O)))$)

(so the image of $LF^* \otimes_{\mathbb{Z}} LF^*$ in $K_2(F)$ is L_3).

Then (perhaps up to torsion or so)

$$L_3 \stackrel{?}{=} \frac{LF^* \otimes_{\mathbb{Z}} LF^*}{\langle u \otimes (1 - u) \text{ with } u, 1 - u \text{ from a linear triplet relation} \rangle}$$

Some theoretical evidence

Proposition If E/k is elliptic with k algebraically closed (so $LF^* = F^*$ and $L_3 = K_2(F)$) then we only need relations $u \otimes (1 - u)$ with $\deg(u) \leq 3$, and those come from linear triplet relations:

- if $\deg(u) = 0$ then $u + (1 - u) = 1$;
- if $\deg(u) = 2$ then from some $l + m = n$;
- if $\deg(u) = 3$ then from some $l_1 l_2 n_2 + m_1 m_2 n_1 = n_1 n_2 N$.

Here all l_i , etc., are in RR_3^* for O the neutral element.

In the last case we have $u = \frac{l_1 l_2}{n_1 N}$ and $1 - u = \frac{m_1 m_2}{n_2 N}$.

For $* \in \{0, 1, \infty\}$ write $u^{-1}(*) = (A_{*,1}) + (A_{*,2}) + (A_{*,3})$. Then explicitly

$$(l_1) = (A_{0,1}) + (A_{0,2}) + (-A_{0,1} - A_{0,2}) - 3(O)$$

$$(l_2) = (A_{0,3}) + (-A_{\infty,1} - A_{\infty,2}) + (A_{\infty,1} + A_{\infty,2} - A_{0,3}) - 3(O)$$

$$(m_1) = (A_{1,1}) + (A_{1,2}) + (-A_{1,1} - A_{1,2}) - 3(O)$$

$$(m_2) = (A_{1,3}) + (-A_{\infty,1} - A_{\infty,2}) + (A_{\infty,1} + A_{\infty,2} - A_{1,3}) - 3(O)$$

$$(n_1) = (-A_{0,1} - A_{0,2}) + (A_{\infty,3}) + (A_{0,1} + A_{0,2} - A_{\infty,3}) - 3(O)$$

$$(n_2) = (-A_{1,1} - A_{1,2}) + (A_{\infty,3}) + (A_{1,1} + A_{1,2} - A_{\infty,3}) - 3(O)$$

$$(N) = (A_{\infty,1}) + (A_{\infty,2}) + (-A_{\infty,1} - A_{\infty,2}) - 3(O)$$