

# Proper homotopy classes of proper Fredholm mappings

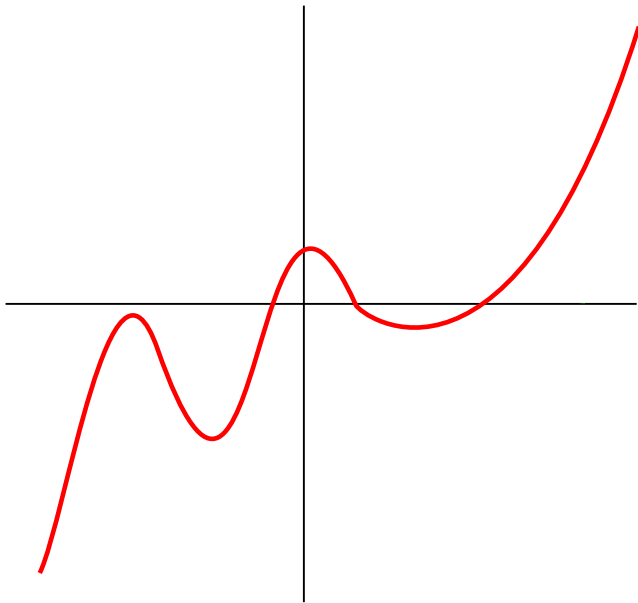
Thomas Rot (VU Amsterdam)  
joint work with Alberto Abbondandolo (Bochum)

NDNS+ Twente

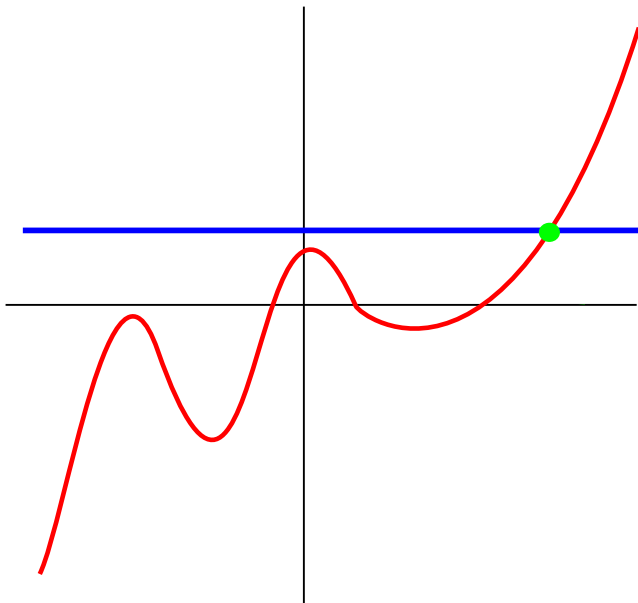
25-06-2018

How can we guarantee that an equation  $f(x) = y$  has a solution?

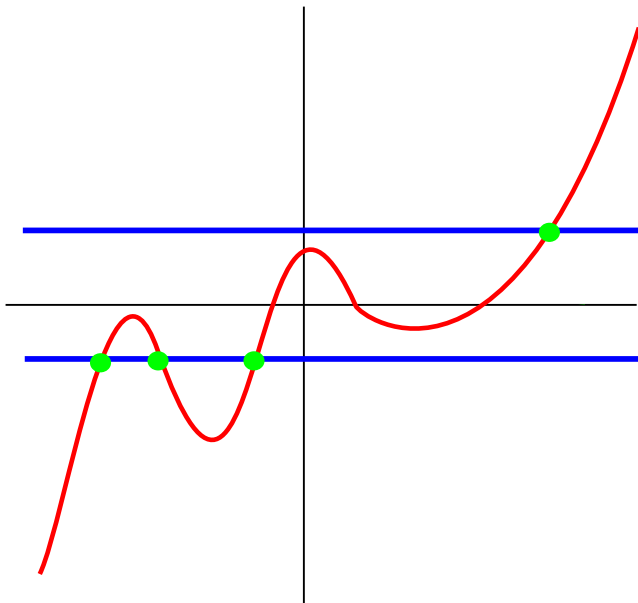
# Solving equations



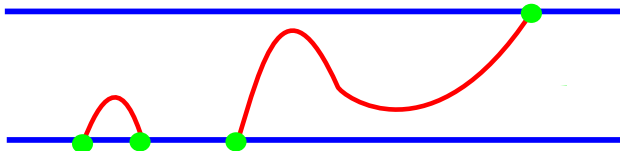
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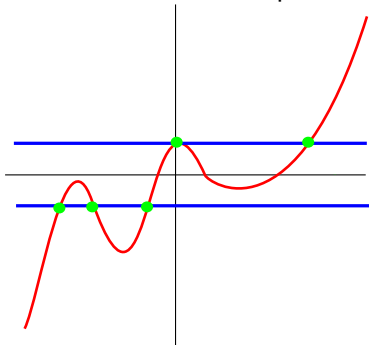
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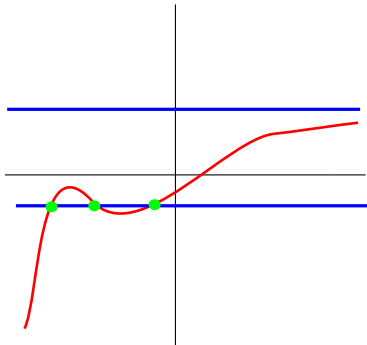
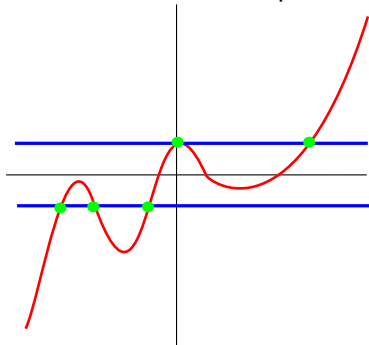
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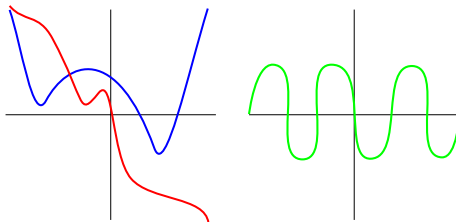
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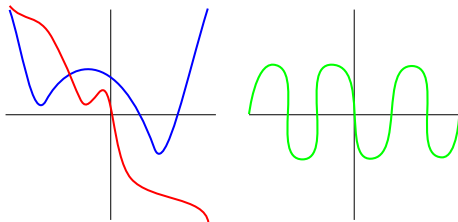
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Graphs of proper and non-proper maps

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## Theorem

$$[\mathbb{R}^n, \mathbb{R}^m]_{\text{prop}} \cong [S^{n-1}, S^{m-1}] \cong \pi_{n-1}(S^{m-1})$$

(Last isomorphism holds if  $n > 1$ )

## Theorem

*Let  $f : M^m \rightarrow N^n$  a proper smooth map. Then for  $y \in N$  regular value  $X = f^{-1}(y)$  is a closed  $m - n$  dimensional manifold. The cobordism class of  $X$  does not depend on  $y$  or the proper homotopy class of  $f$ .*

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When is the cobordism class a full invariant?

## Theorem (Pontryagin)

*Let  $M^m$  be an  $m$ -dimensional closed manifold. There is a bijection*

$$[M, S^n] \cong \Omega_{m-n}^{\text{fr}}(M)$$



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- Fredholm maps makes differential topology available (Smale-Sard)
- Such maps arise in the study of non-linear elliptic PDE's

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In finite dimensions the index is the difference in dimensions of domain and codomain. Examples of such maps are easy to find. Let  $g : \mathbb{R}^m \rightarrow \mathbb{R}^n$  be proper. Then define  $f : \mathbb{R}^m \times \mathbb{H} \rightarrow \mathbb{R}^n \times \mathbb{H}$  by

$$f(z, x) = (g(z), x)$$

This is a proper Fredholm map of index  $k = m - n$ .

## Some funny facts in infinite dimensions.

- Any infinite dimensional Hilbert manifold can be embedded as an open set in  $\mathbb{H}$  (Palais, Kuiper-Burghelea, Moulis, Eells-Elworthy,...).
- Thus its tangent bundle is trivial!

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$$TM \cong M \times \mathbb{H}$$

The differential of a Fredholm map can be viewed as a map

$$df : M \rightarrow \Phi_k(\mathbb{H})$$

where  $\Phi_k(\mathbb{H})$  is the space of (linear) Fredholm operators of index  $k$ .

# Homotopy of Fredholm maps

A necessary requirement for two Fredholm maps to be Fredholm homotopic:

- $f, g : M \rightarrow N$  are homotopic as continuous maps
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Theorem (Elworthy-Tromba, Abbondandolo-R.)

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Proof.

Extention theorems. □

# Invariants of proper Fredholm maps

- Given a regular value  $y$  of a proper Fredholm map  $f : M \rightarrow N$  we get a closed manifold  $X = f^{-1}(y) \subset M$ , the *Pontryagin manifold*.
- Cobordism class does not depend on regular value  $y$  (if  $N$  connected)
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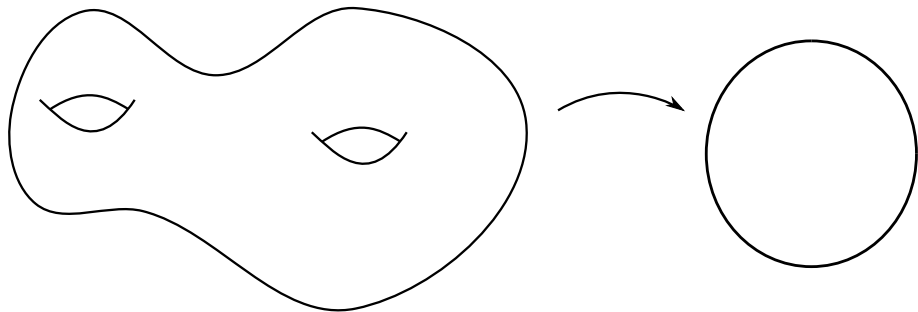
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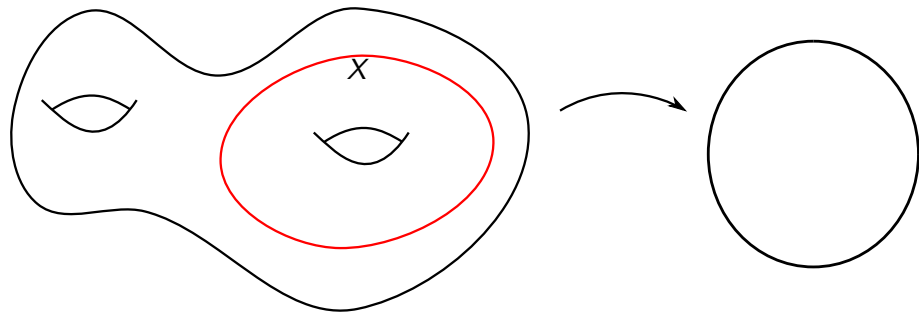
## Theorem (Abbondandolo-R.)

This is a poor invariant! If  $M = \mathbb{H}$  and  $k \neq 0$  the image is 0

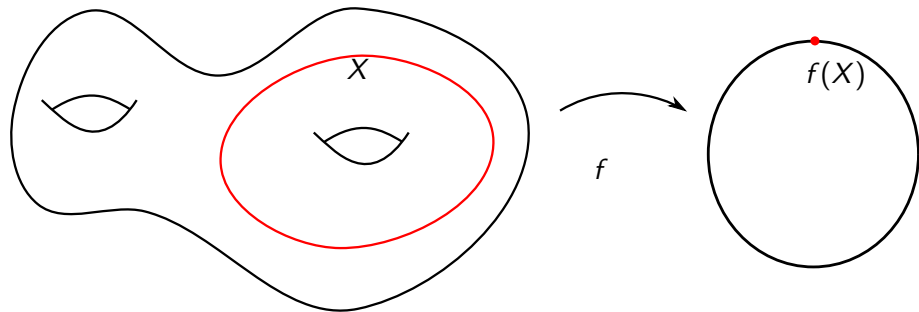
# Upgrading the Pontryagin manifold: Framings in finite dimensions



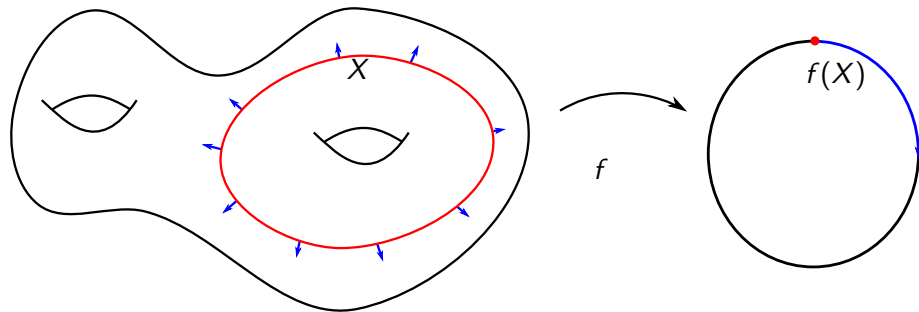
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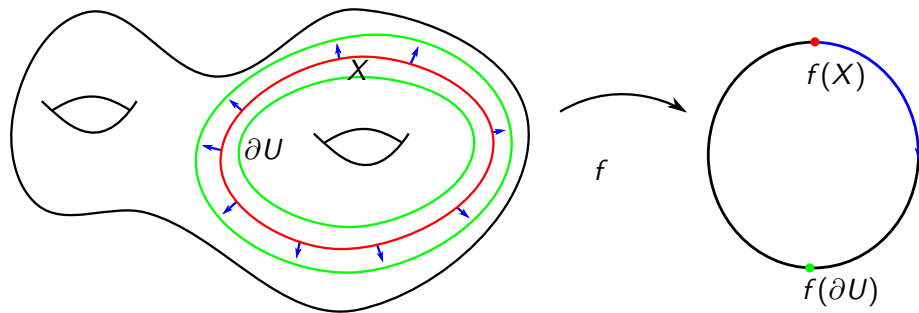
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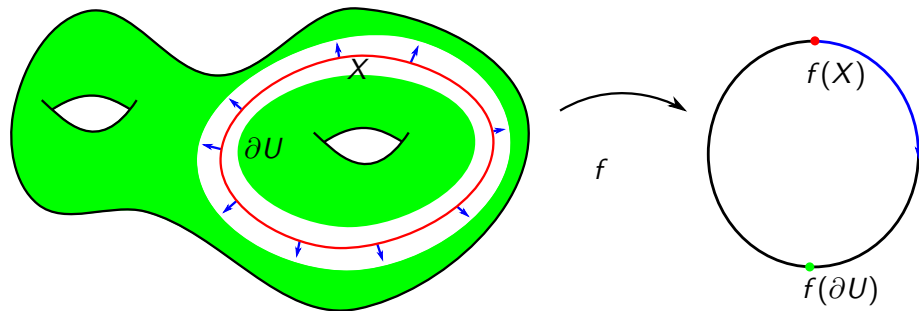
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- A framing of  $X$  is a (smooth) choice of basis of each normal space.
- A choice of framing allows us to construct a map  $f : M \rightarrow S^n$  with  $X$  as a Pontryagin manifold.
- The preimage of a regular value is framed by (the inverse of) the differential  $df_x : N_x X \rightarrow T_{f(x)} S^n \cong \mathbb{R}^n$ .



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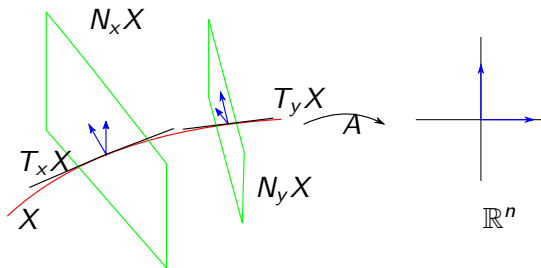
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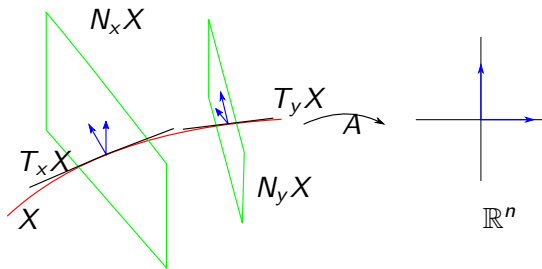
This notion of framing is *not* useful in infinite dimensions!

# Rethinking finite dimensional framings.



A (finite dimensional) framing is a section of  $\text{Iso}(NX, \mathbb{R}^n)$

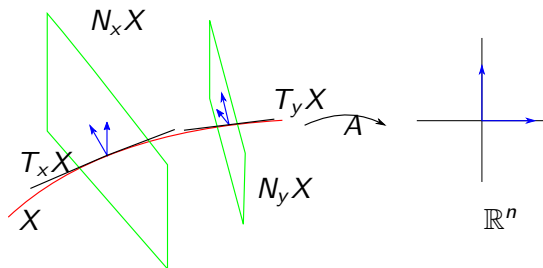
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A (finite dimensional) framing is a section of  $\text{Iso}(NX, \mathbb{R}^n)$  this defines a section of  $\text{Hom}(TM, \mathbb{R}^n)$  by mapping the tangent space to  $X$  to zero. We see the framing as a map  $A : X \rightarrow \text{Hom}(TM|_X, \mathbb{R}^n)$  such that

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In finite dimensions this map always extends to the whole of  $M$ , i.e. a map

$$A : M \rightarrow \text{Hom}(TM, \mathbb{R}^n)$$



# Framings infinite dimensions

In infinite dimensions a map

$$A : X \rightarrow \Phi_k(\mathbb{H})$$

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## Definition

Let  $X \subset M$  be a finite dimensional submanifold of a Hilbert manifold  $M$ . A framing is a map  $A : M \rightarrow \Phi_k(\mathbb{H})$  such that

$$\ker A(x) = T_x X \quad \text{for all } x \in X$$

There is a similar notion of framed cobordism. This gives rise to framed cobordism sets  $\Omega_k^{\text{fr}}(M)$ .

# The main theorem

## Theorem (Abbondandolo-R.)

*A proper Fredholm map  $f : M \rightarrow N$  gives rise to a framed cobordism class via the map  $f \mapsto [(f^{-1}(y), df)]$ .*

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## Proof.

Can't collapse as in finite dimensions. Proper Fredholm extension theorem. □

# Computation of $\Omega_k^{\text{fr}}(M)$ when $k < 0$

## Proposition

For  $k < 0$  there is a bijection

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## Theorem (Atiyah-Jänich)

*If the topology of  $M$  is mild (e.g. when  $M$  deformation retracts to a finite dimensional closed manifold) we have*

$$[M, \Phi_k(\mathbb{H})] \cong \tilde{K}(M)$$

as  $\Phi_k(\mathbb{H}) \simeq \lim_{n \rightarrow \infty} Gr(n, \mathbb{H})$ .

(We understand the topology of this space better than the topology of the two sphere  $S^2$ )



## The case of index 0: Orientable framings.

### Definition

A map  $A : M \rightarrow \Phi_0(\mathbb{H})$  is called *orientable* if

$$A_* : \pi_1(M) \rightarrow \pi_1(\Phi_0(\mathbb{H})) \cong \mathbb{Z}/2\mathbb{Z}$$

is trivial. Otherwise it is *non-orientable*.

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The set  $[M, \Phi_0(\mathbb{H})]$  decomposes as

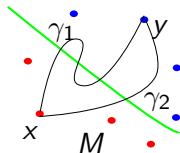
$$[M, \Phi_0(\mathbb{H})] = [M, \Phi_0(\mathbb{H})]_{\text{or}} \cup [M, \Phi_0(\mathbb{H})]_{\text{no}}$$

## Degree theory

Given an oriented framed submanifold  $(X, A)$  we define an equivalence relation on elements of  $X$ .

$$x \sim y \Leftrightarrow [A \circ \gamma] = 0 \in \pi_1(\Phi_0(\mathbb{H}), GL(\mathbb{H})) \cong \mathbb{Z}/2\mathbb{Z}$$

where  $\gamma$  is a path in  $M$  from  $x$  to  $y$ .

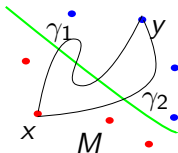


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There are two equivalence classes  $X = X_- \cup X_+$ . We define the absolute degree by

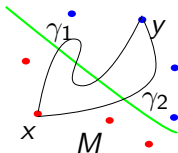
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$$|\deg|(X, A) = |\#X_- - \#X_+|.$$

If  $(X, A)$  is not orientable we define the Cacciopoli-Smale mod 2 degree by

$$\deg_2(X, A) = \#X \pmod{2}.$$

## Theorem (Abbondandolo-R.)

*We have a bijection*

$$\Omega_0^{\text{fr}}(M) = \Omega_0^{\text{fr}}(M)_{\text{or}} \oplus \Omega_0^{\text{fr}}(M)_{\text{no}}$$

*where*

$$\Omega_0^{\text{fr}}(M)_{\text{or}} \cong [M, \Phi_0(\mathbb{H})]_{\text{or}} \times \mathbb{N}$$

*and*

$$\Omega_0^{\text{fr}}(M)_{\text{no}} \cong [M, \Phi_0(\mathbb{H})]_{\text{no}} \times \mathbb{Z}/2\mathbb{Z}$$

*The isomorphisms are given by the degrees.*

## Explicit representatives

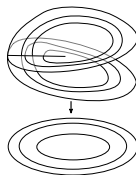
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Write  $\mathbb{H} \cong \mathbb{C} \times \mathbb{H}$ . Then we have

$$f_n(z, x) = \begin{cases} (|z|^2, x) & n = 0 \\ (z^n, x) & n > 1 \end{cases}$$



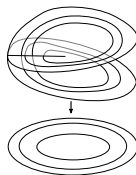


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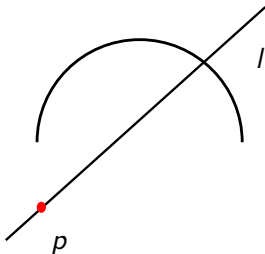


The theorem implies that  $f_n$  and  $\overline{f_n}$  are proper Fredholm homotopic! This does not hold in finite dimensions!

# Explicit representative of degree 1 non-orientable map

Consider the tautological line  $\gamma \rightarrow \mathbb{RP}^1$ . Define the map:  $f : \gamma \times \mathbb{H} \rightarrow \mathbb{R}^2 \times \mathbb{H}$  by

$$f(l, p, x) = (p, x).$$



# Thank you for your attention.



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