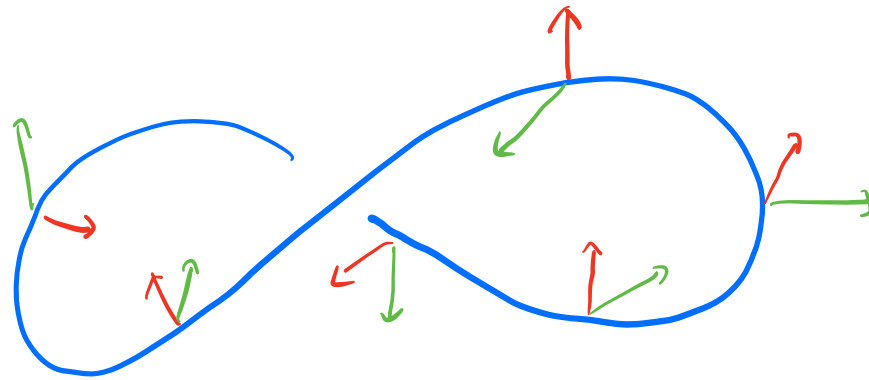


Non-linear proper Fredholm maps

and the stable homotopy groups of spheres.

joint with Laurant Toussaint
& Alberto Abbondandolo



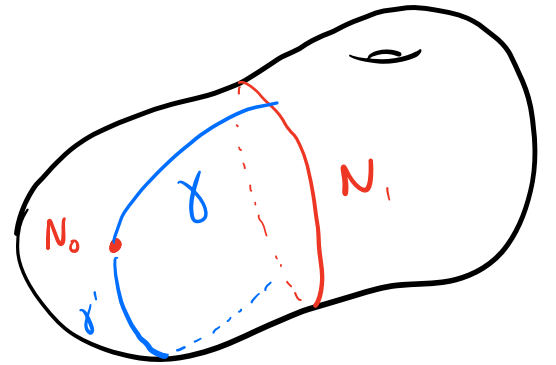
Thomas Rot
Vrije Universiteit Amsterdam

Prospects in geometry and global analysis
August 2023
Rauischolzhausen

Motivation: geometric ODE's / PDE's

(M, g) Riemannian mfd. N_0, N_1 submanifolds.

$$P = \{ \gamma: I \rightarrow M \mid \gamma(0) \in N_0 \quad \gamma(1) \in N_1 \} \quad \text{path space}$$

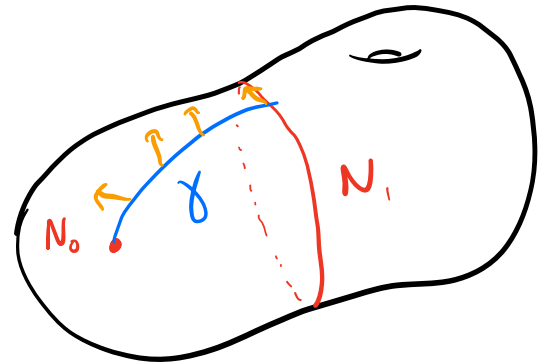


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 vector fields along γ



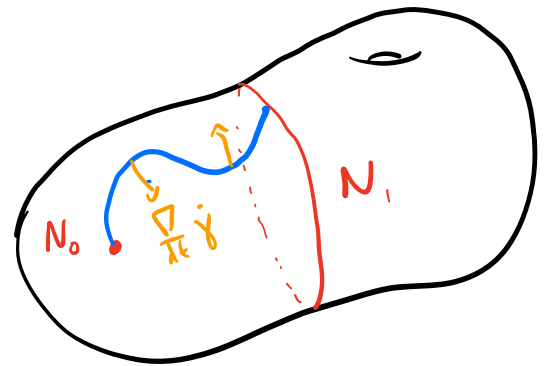
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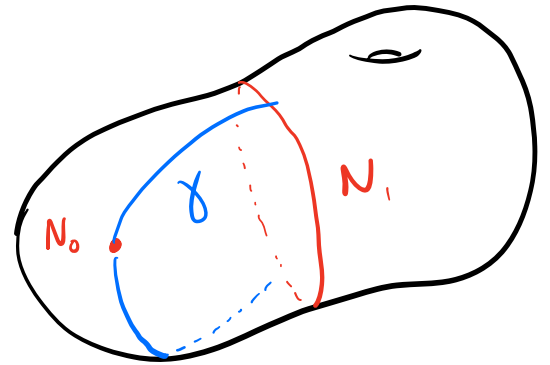
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- f non-linear Fredholm of index $\dim N_0 + \dim N_1$,
- bounded length $\Rightarrow f$ proper.



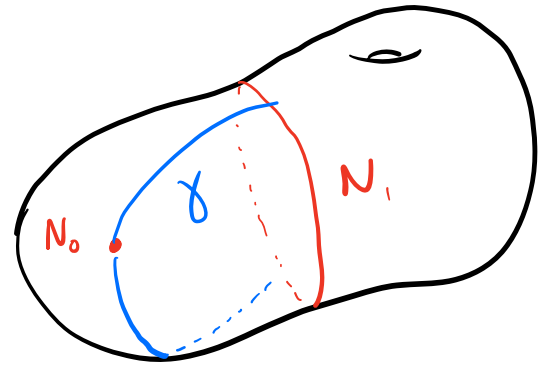
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- Always solutions?
- Topology of space of solutions?

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Warning!

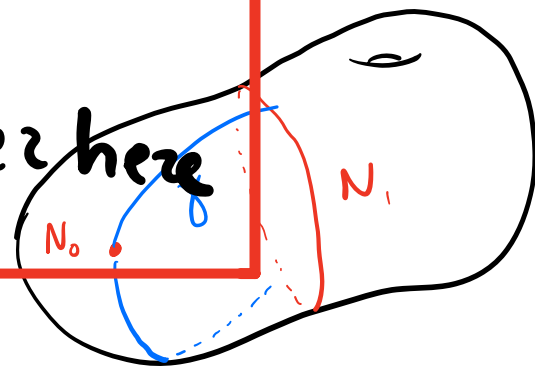
"vector fields along γ ."

Toy example

$$f: P \rightarrow TP$$

Morse theory is better here

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Classify non linear proper Fredholm maps

$$f: \mathbb{H} \longrightarrow \mathbb{H}$$

in terms of finite dimensional invariants

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understand the solution sets $f^{-1}(0)$.

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- $f: P \rightarrow TP$
- $\tilde{f}: P \rightarrow \mathbb{H}$

Notation:

$$H = \ell^2(\mathbb{N}, \mathbb{R}) = \{ (x_1, x_2, \dots) \mid \sum_{i=1}^{\infty} x_i^2 < \infty \}.$$

canonical inclusions $\mathbb{R}^n \subset H$

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$$\Phi(\mathbb{H}) = \{ L \in L(\mathbb{H}, \mathbb{H}) \mid \begin{array}{l} \dim \ker L < \infty \\ \dim \operatorname{coker} L < \infty \end{array} \}$$

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linear Fredholm operators. " $H/\text{im } L$ "

Positive index = "high dimensional to low dimensional"

Zero index = "same dimensions"

Negative index = "low dimensional to high dimensional"

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non-linear Fredholm mappings

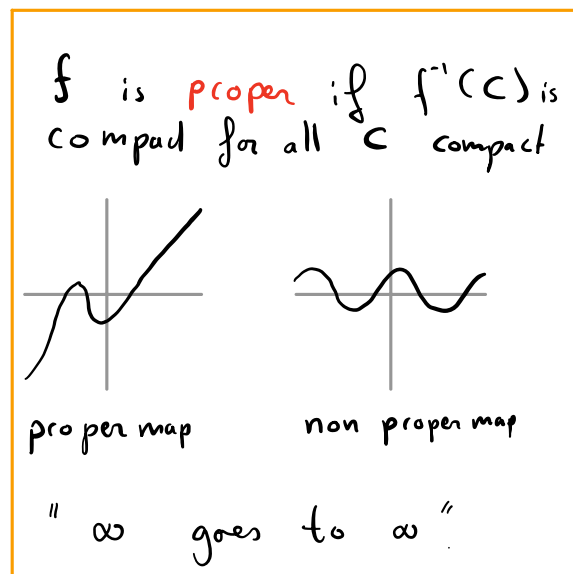
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$$F^{\text{prop}}(\mathbb{H}) = \{ f : \mathbb{H} \rightarrow \mathbb{H} \mid \begin{array}{l} f \text{ proper} \\ df : \mathbb{H} \rightarrow \Phi(\mathbb{H}) \end{array} \}$$

non-linear proper Fredholm mappings



square brackets denote homotopy classes.

Question: How to produce these maps from finite dimensional ones?

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Suspensions

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$$\begin{array}{l} g: \mathbb{R}^{n+k} \longrightarrow \mathbb{R}^k \\ Sg: \mathbb{R}^{n+k} \oplus \mathbb{H} \longrightarrow \mathbb{R}^k \oplus \mathbb{H} \end{array}$$

$$(x, y) \longmapsto (g(x), y)$$

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$\underbrace{\hspace{1.5cm}}_{\cong \mathbb{H}} \qquad \qquad \underbrace{\hspace{1.5cm}}_{\cong \mathbb{H}}$

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$$\begin{array}{ccc}
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 g & \longmapsto & Sg
 \end{array}$$

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 \quad \quad \quad \wr \mathbb{H} & & \wr \mathbb{H} \\
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what is $[\mathbb{R}^{n+k}, \mathbb{R}^k]^{\text{prop}}$?

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$$\begin{array}{ccc} C_{\text{prop}}^\infty(\mathbb{R}^{n+k}, \mathbb{R}^k) & \longrightarrow & F_n^{\text{prop}}(\mathbb{H}) \\ g & \longmapsto & Sg \end{array}$$

what is $[\mathbb{R}^{n+k}, \mathbb{R}^k]^{\text{prop}}$?

A proper map extends to one point compactification.

A diagram

$$[\mathbb{R}^{n+u}, \mathbb{R}^u]^{\text{prop}} \xrightarrow{S} \mathcal{F}_n^{\text{prop}}[H]$$

A diagram

$$[S^{n+k-1}, S^{k-1}] \xrightarrow[\text{radial extension}]{\cong} [R^{n+k}, R^k]^{\text{prop}} \xrightarrow{S} \mathcal{F}_n^{\text{prop}}[H]$$

A diagram

$$\begin{array}{ccccc}
 [S^{n+k-1}, S^{k-1}] & \xrightarrow{\cong} & [R^{n+k}, R^k]^{\text{prop}} & \xrightarrow{S} & \mathcal{F}_n^{\text{prop}}[H] \\
 \downarrow \text{Suspension} & & \downarrow \times \mathbb{R} & & \nearrow S \\
 [S^{n+k}, S^k] & \xrightarrow{\cong} & [R^{n+k+1}, R^{k+1}]^{\text{prop}} & &
 \end{array}$$

radial extension

A diagram

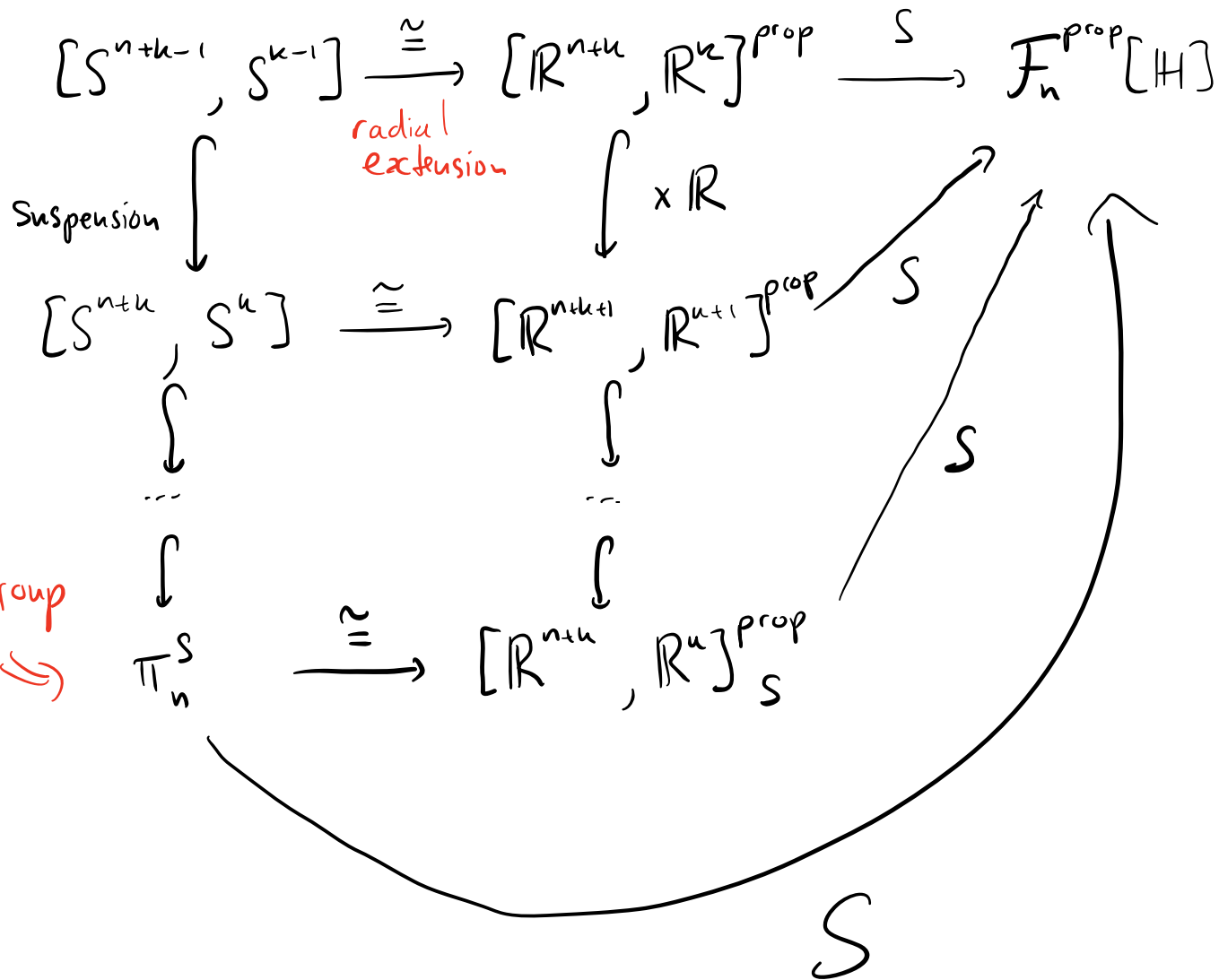
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 \downarrow & & \downarrow & & \\
 \dots & & \dots & &
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 \downarrow \vdots & & \downarrow \vdots & & \\
 \downarrow & & \downarrow & & \\
 \pi_n^S & \xrightarrow{\cong} & [R^{n+k}, R^k]_S^{\text{prop}} & &
 \end{array}$$

stable homotopy group
of spheres. $\Rightarrow$

A diagram



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The Theorems:

Thm (Toussaint-R) $S: \pi_n^S \longrightarrow F_n^{\text{prop}}[H]$

is surjective but not injective.

$$S(a) = S(b) \text{ iff } a = \pm b.$$

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Previous work
e.g. Švarc, Gęba, Berger,
Bauer, Funar, ...
did not consider the fully nonlinear problem

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Thm (Toussaint-R): $\forall FO_n^{\text{prop}}[H]$ oriented maps
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	FO_n^{prop}	$\# F^{\text{prop}}$
$k < 0$	0	0
$k = 0$	$\mathbb{Z}$	$\mathbb{N}$
$k = 1$	$\mathbb{Z}/2$	2
$k = 2$	$\mathbb{Z}/2$	2
$k = 3$	$\mathbb{Z}/24$	13

Note

$F^{\text{prop}}[H] = \bigcup_n F_n^{\text{prop}}[H] \cong \pi^S / \sim$

does not have a natural group structure. ($FO_{\text{does}}^{\text{prop}}[H]$)

however the multiplicative structure remains.

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Thm (Toussaint-R) $n \neq 0$

$\text{w } f \in F_n^{\text{prop}}(H)$. Then there exists a $k \in \mathbb{N}$ s.t.

$$f^k = \underbrace{f \circ \dots \circ f}_{k \text{ times}}$$

' is proper Fredholm homotopic to a non surjective proper Fredholm map.

Where does the identification come from?

In finite dimensions degree 1 map is not proper
homotopic to degree -1 map.

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$$f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$$f_1 \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix}$$

degree 1

$$f_{-1} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -x \\ y \end{pmatrix}$$

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In ∞ dimensions $Sf_1 = Sf_{-1}$

$(GL(H))$ is contractible

$$\begin{pmatrix} \cos \pi t & \sin \pi t \\ -\sin \pi t & \cos \pi t \end{pmatrix}$$

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In ∞ dimensions $Sf_1 = Sf_{-1}$

($GL(H)$ is contractible)

$$\begin{aligned} & \begin{pmatrix} \cos \pi t & \sin \pi t \\ -\sin \pi t & \cos \pi t \end{pmatrix} & \begin{matrix} t=0 \\ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{matrix} & \begin{matrix} t=1 \\ \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \end{matrix} \\ & \begin{pmatrix} -1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & -1 \end{pmatrix} \sim \begin{pmatrix} -1 & & & \\ & \begin{pmatrix} -1 & \\ & \ddots \\ & -1 \end{pmatrix} & & \\ & & \ddots & \\ & & & -1 \end{pmatrix} \sim \begin{pmatrix} -1 & & & \\ & \begin{pmatrix} -1 & \\ & \ddots \\ & -1 \end{pmatrix} & & \\ & & \ddots & \\ & & & -1 \end{pmatrix} = \begin{pmatrix} \begin{pmatrix} -1 & \\ & \ddots \\ & -1 \end{pmatrix} & & \\ & \begin{pmatrix} -1 & \\ & \ddots \\ & -1 \end{pmatrix} & & \\ & & \ddots & \\ & & & -1 \end{pmatrix} \end{aligned}$$

Where does the identification come from?

In finite dimensions degree **1** map is not proper
homotopic to degree **-1** map.

$$\begin{aligned} f_1 \begin{pmatrix} x \\ y \end{pmatrix} &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} && \text{degree } \mathbf{1} \\ f_{-1} \begin{pmatrix} x \\ y \end{pmatrix} &= \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} -x \\ y \end{pmatrix} && \text{degree } \mathbf{-1}. \end{aligned}$$

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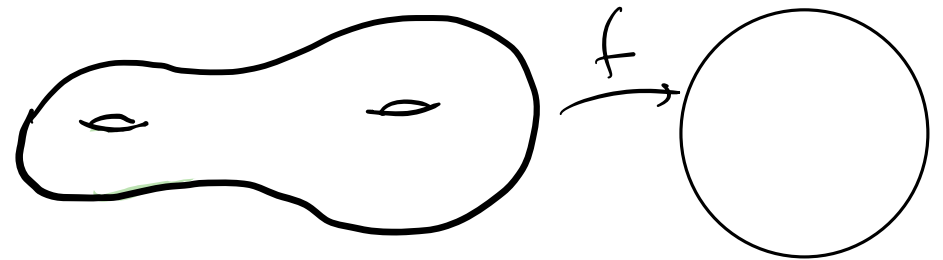
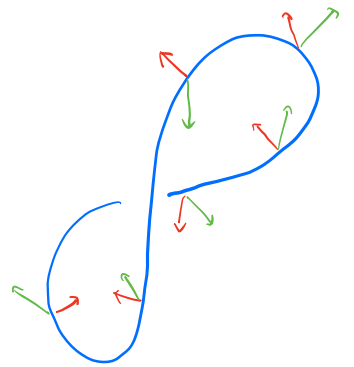
$$\begin{array}{ccccc} & t=0 & & t=1 & \\ \begin{pmatrix} \cos \pi t & \sin \pi t \\ -\sin \pi t & \cos \pi t \end{pmatrix} & \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} & & \\ \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} \sim \begin{pmatrix} 1 & & & \\ & \color{red}{\begin{pmatrix} 1 & \\ & \ddots \\ & & 1 \end{pmatrix}} & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} \sim \begin{pmatrix} 1 & & & \\ & \color{red}{\begin{pmatrix} -1 & \\ & \ddots \\ & & -1 \end{pmatrix}} & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} \sim \begin{pmatrix} \color{red}{\begin{pmatrix} -1 & \\ & \ddots \\ & & -1 \end{pmatrix}} & & & \\ & \color{red}{\begin{pmatrix} 1 & \\ & \ddots \\ & & 1 \end{pmatrix}} & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} \sim \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} \end{array}$$

The inverse of $f: S^{n+n} \longrightarrow S^n$ is $T \circ f$ (T coordinate flip)

$$S(T \circ f) = S(T) S(f) = S(f)$$

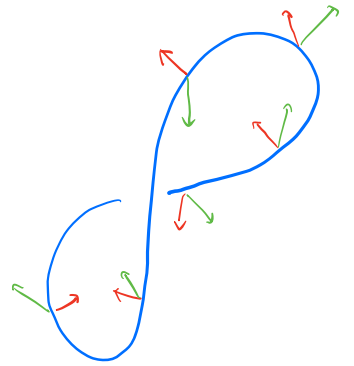
One more ingredient: Framed cobordism.

$$f: \mathbb{R}^{n+k} \longrightarrow \mathbb{R}^k \quad \text{proper}$$

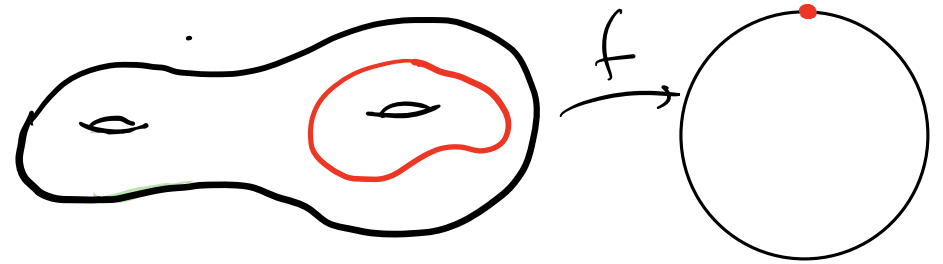


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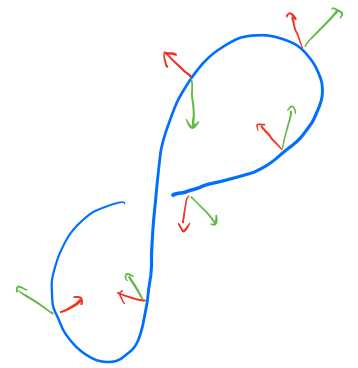


y regular value : $X = f^{-1}(y)$ closed n -dim mfd.



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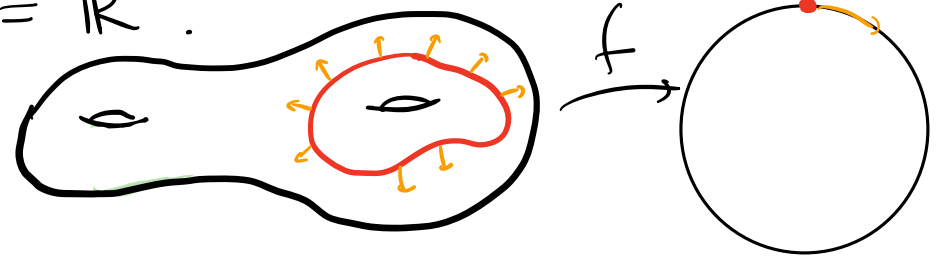
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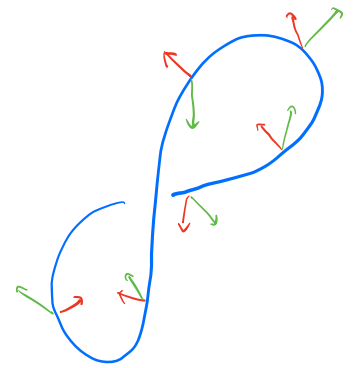
$$0 \longrightarrow T_x X \longrightarrow \mathbb{R}^{n+k} \xrightarrow{df} \mathbb{R}^k \longrightarrow 0 \quad \forall x \in X.$$

df induces is $\bullet$ $N_x X \cong \mathbb{R}^k$.
 $\ker df|_x = TX$



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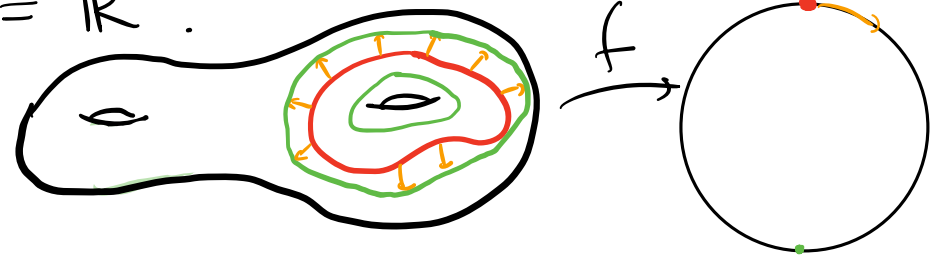
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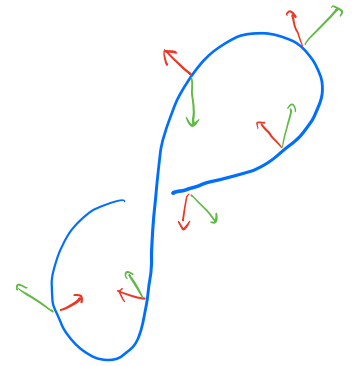
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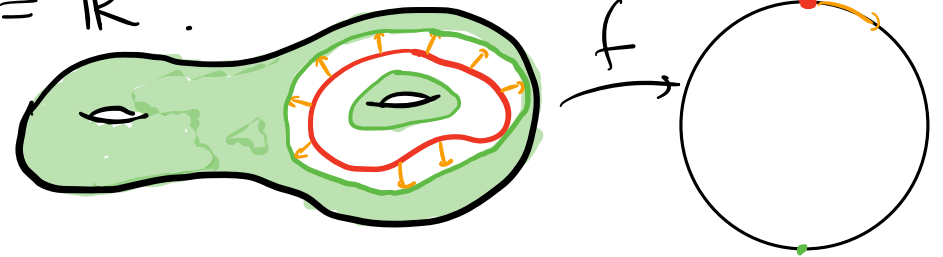
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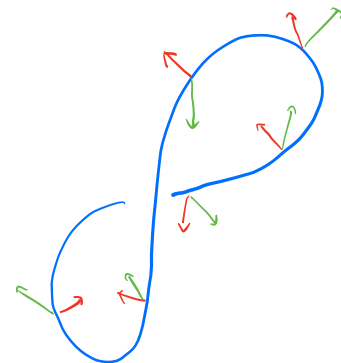
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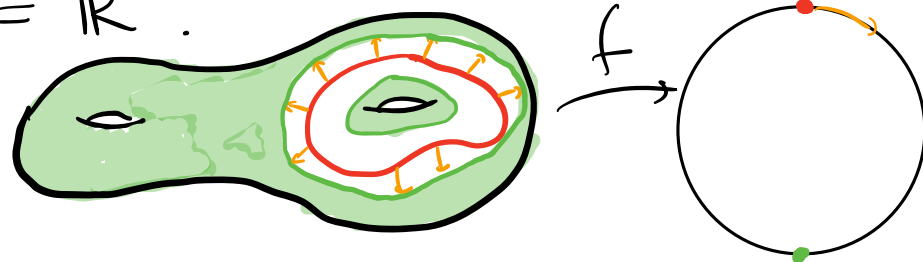
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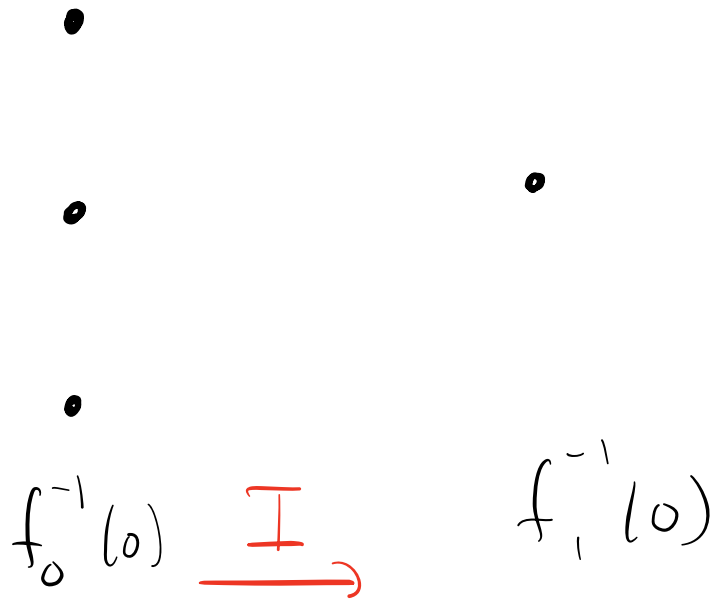


Thm (Csepai): for k sufficiently large

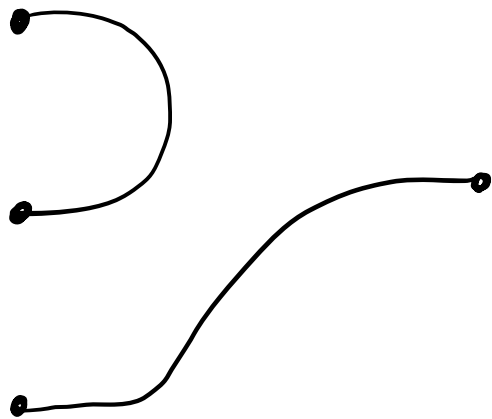
$$[\mathbb{R}^{n+k}, \mathbb{R}^k]^{\text{prop}} \cong \Omega_n^{\text{fr}}(\mathbb{R}^{n+k}) = \left\{ \begin{array}{l} X^n \subset \mathbb{R}^{n+k} \\ NX \xrightarrow{\cong} \underline{\mathbb{R}^k} \end{array} \right\} / \text{cobordism}$$

Basic example $\mathbb{R}^n \rightarrow \mathbb{R}^n$

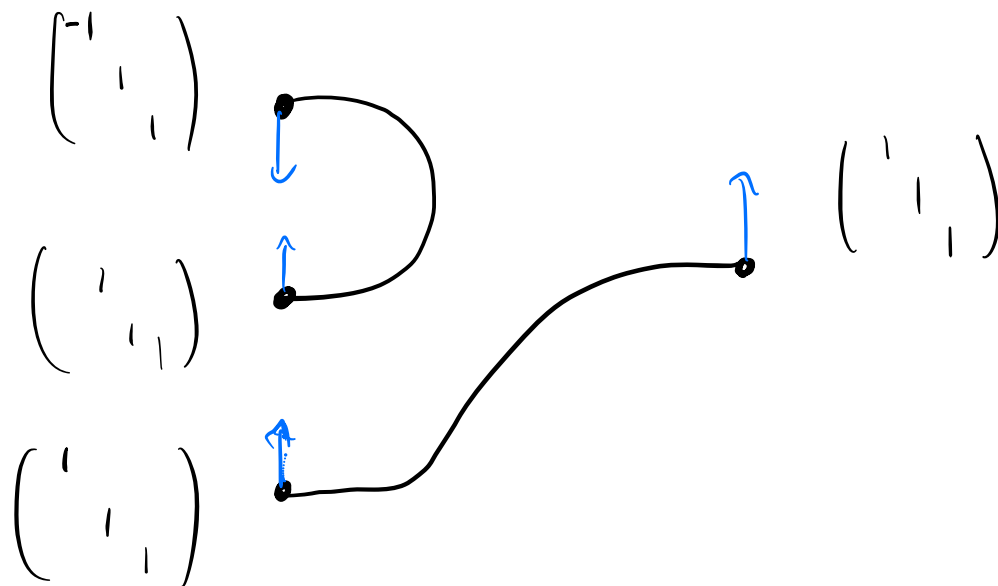
$\mathbb{R}^n$ ↑



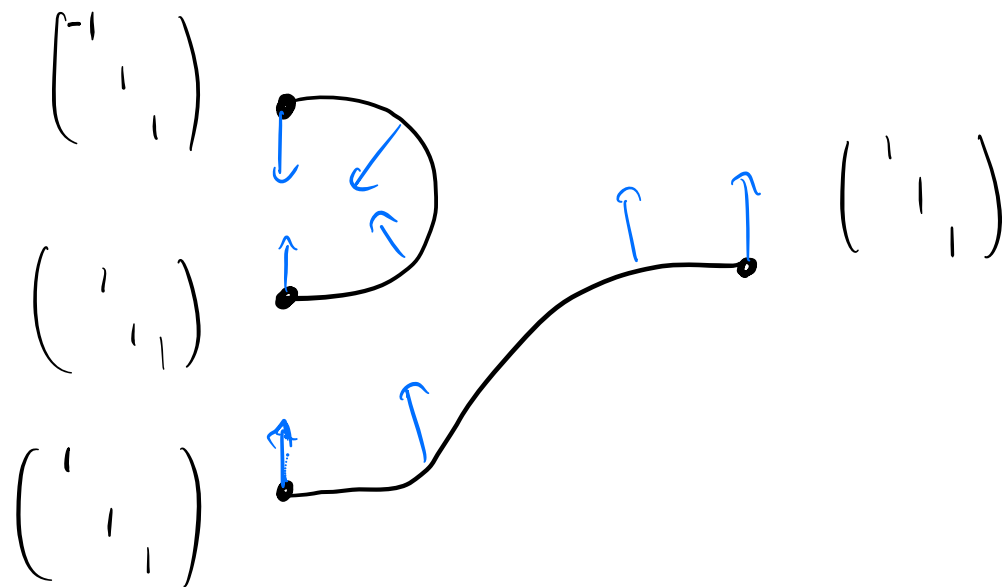
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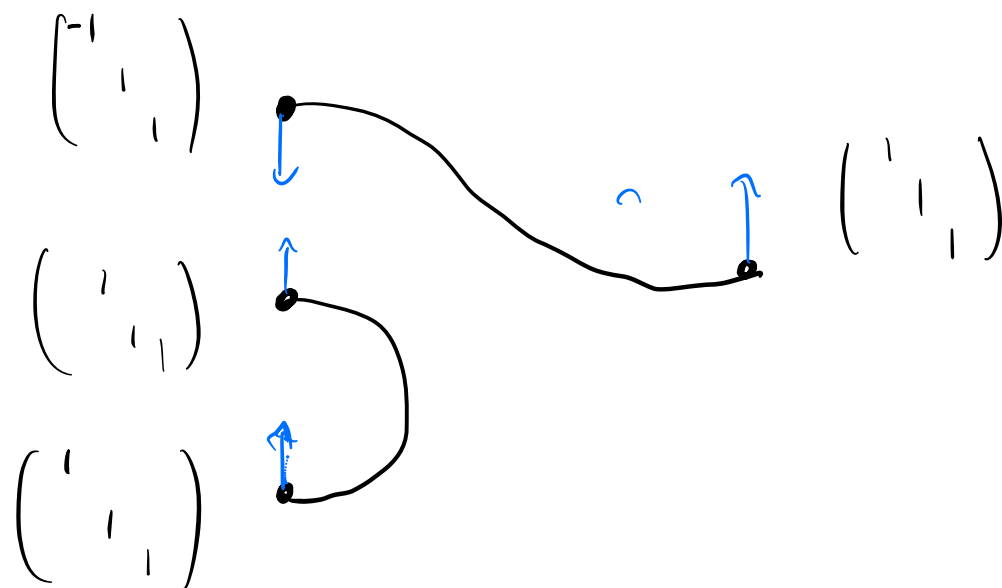
Basic example $\mathbb{R}^n \rightarrow \mathbb{R}^n$



Basic example $\mathbb{R}^n \rightarrow \mathbb{R}^n$



Basic example $\mathbb{R}^n \rightarrow \mathbb{R}^n$



This does not work.

$$[\mathbb{R}^{n+k}, \mathbb{R}^k]^{\text{prop}} \xrightarrow{\cong} \Omega_n^{\text{fr}}(\mathbb{R}^{n+k}) = \left\{ \begin{array}{l} X \subset \mathbb{R}^{n+k} \\ A: X \longrightarrow \text{Hom}(\mathbb{R}^{n+k}, \mathbb{R}^k) \\ \text{Ker } A_x = TX \end{array} \right. \quad \text{cobordism}$$

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 \downarrow S & & \\
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$$S \int \downarrow \quad \quad \quad \int \downarrow S$$

$$F_n^{\text{prop}}[\mathbb{H}] \xrightarrow[\cong]{\text{Abbondandolo-R.}} \Omega_n^{\text{fr}}(\mathbb{H}) = \left\{ \begin{array}{l} X \subset \mathbb{H} \\ A: \mathbb{H} \longrightarrow \underline{\Phi}_n(\mathbb{H}) \\ \text{Ker } A_x = T_x X \end{array} \right. \quad \text{cobordism.}$$

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- $\text{Hom}(\mathbb{R}^{n+k}, \mathbb{R}^k)$ contractible.
- $GL(\mathbb{R}^k)$ non-trivial topology

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- $\underline{\Phi}_n(\mathbb{H}) \simeq BO$ non trivial topology
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- need framing defined on $\mathbb{H}$.

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~~cobordism~~

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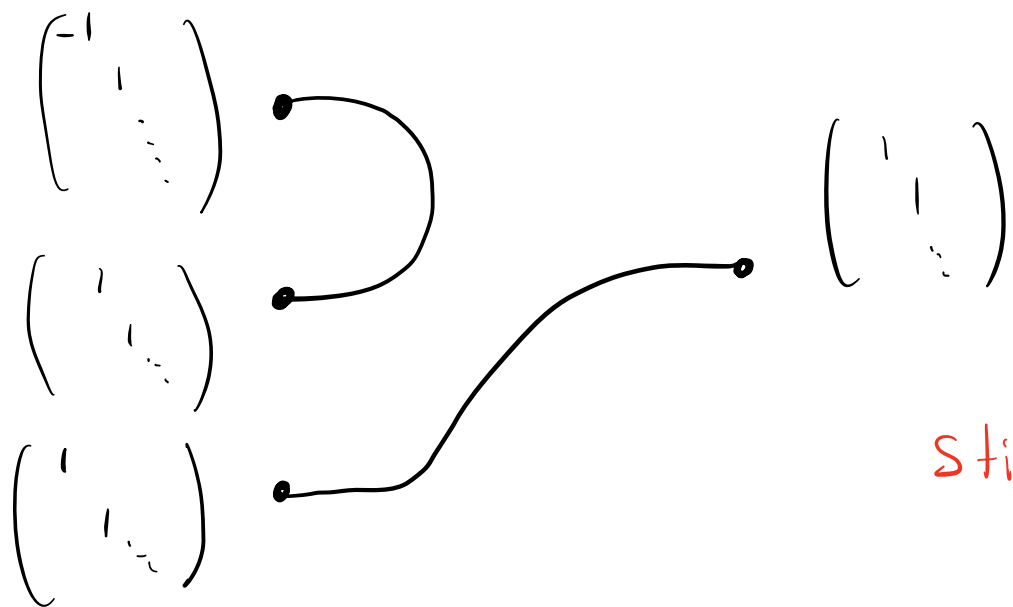
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Equivalent definition

$$\Omega_n^{\text{fr}}(\mathbb{R}^{n+k}) = \left\{ \begin{array}{l} X \subset \mathbb{R}^{n+k} \\ A: \mathbb{R}^{n+k} \longrightarrow \text{Hom}(\mathbb{R}^{n+k}, \mathbb{R}^k) \\ \text{Ker } A_x = T_x X \end{array} \right.$$

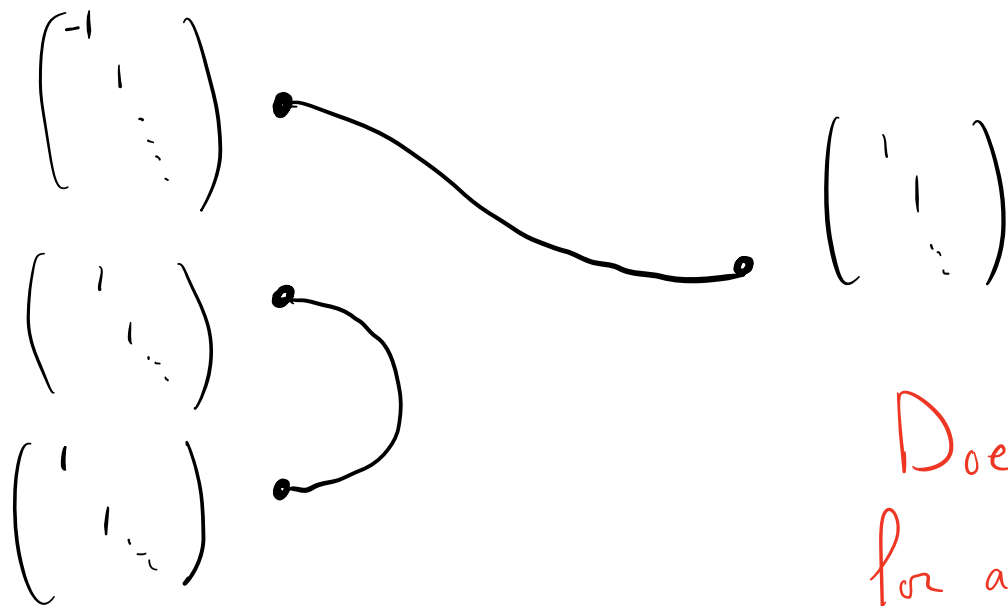
cobordism

The suspended version $Sf : |H| \rightarrow |H|$



Still works

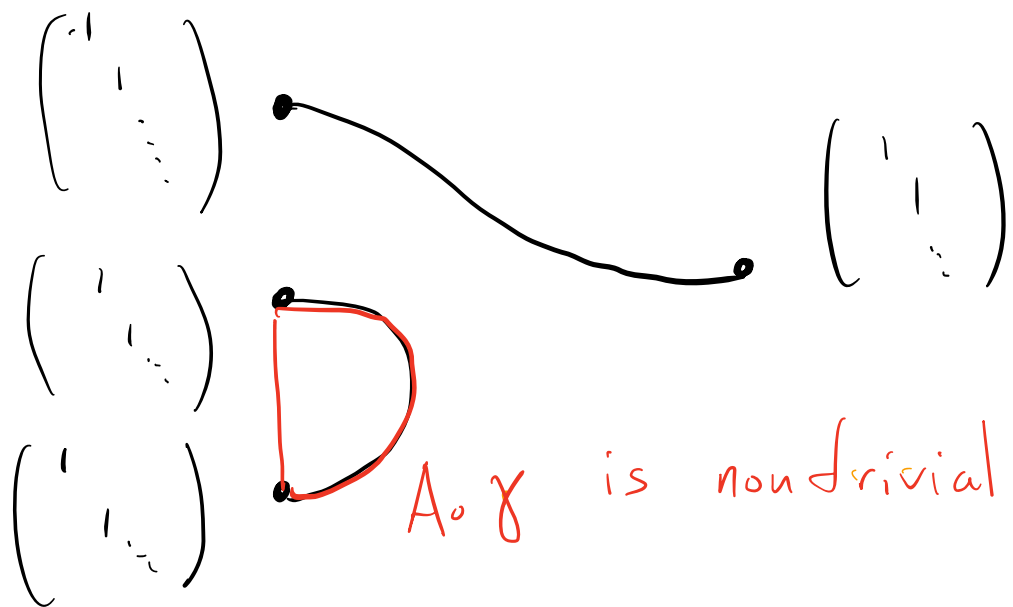
The suspended version $Sf : \mathbb{H} \rightarrow \mathbb{H}$



Does not work
for a different reason.
as

$$\begin{pmatrix} -1 & \\ & \vdots \end{pmatrix} \sim \begin{pmatrix} 1 & \\ & \vdots \end{pmatrix}$$

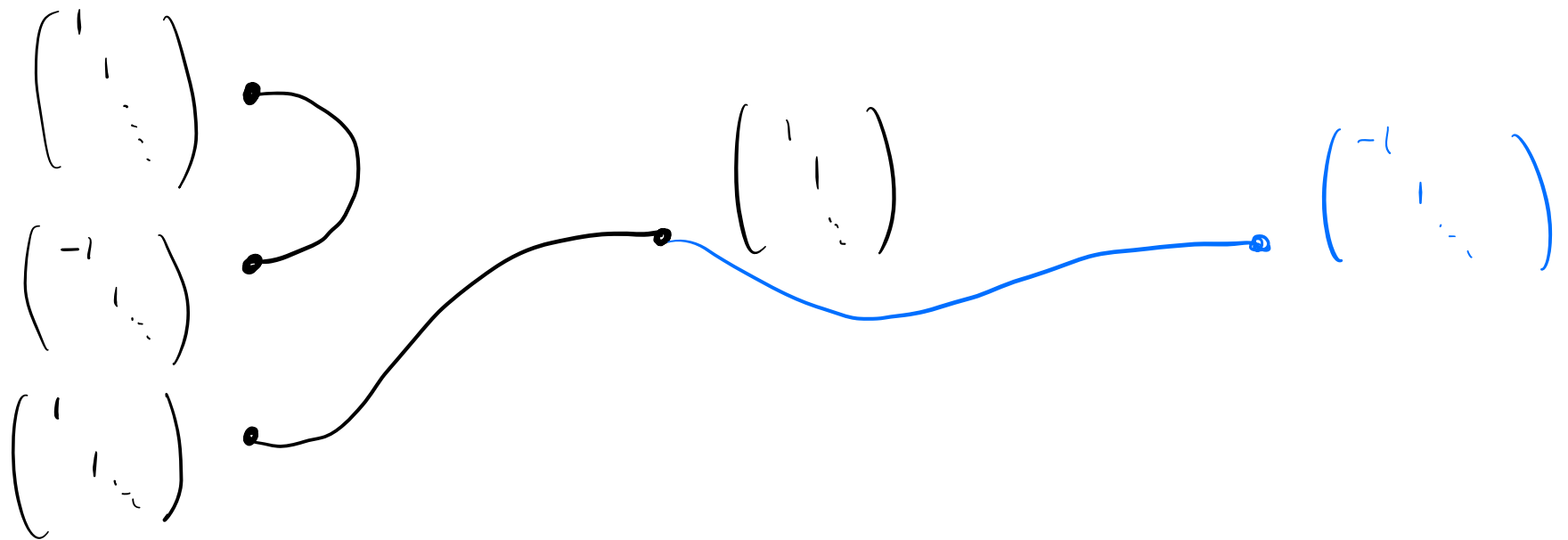
The suspended version $Sf : \mathbb{H} \rightarrow \mathbb{H}$



$A \circ \gamma$ is nontrivial loop in $\pi_1(\Phi(\mathbb{H}))$

can't fill in.

The suspended version $Sf : \mathbb{H} \rightarrow \mathbb{H}$



Thus degree k map equals degree $-k$ map

$$F_0^{\text{prop}}[\mathbb{H}] = \mathbb{Z} /_{x \sim -x} \cong \mathbb{N}_0$$

The special structure of a suspended framed submanifold.

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• $X \subset \mathbb{R}^{n+k} \subset \mathbb{H}$ embedded in
(first $n+k$ coordinates)

The special structure of a suspended framed submanifold.

- $X \subset \mathbb{R}^{n+k} \subset \mathbb{H}$

- framing $A: \mathbb{H} \longrightarrow \bar{\Phi}_n(\mathbb{H})$ has the form

$$A = \begin{matrix} & \mathbb{R}^{n+k} & (\mathbb{R}^{n+k})^\perp \\ \begin{matrix} \mathbb{R}^n \\ (\mathbb{R}^n)^\perp \end{matrix} & \begin{pmatrix} \tilde{A} & 0 \\ 0 & S_n \end{pmatrix} \end{matrix}$$

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$$\tilde{A} \in \text{Hom}(\mathbb{R}^{n+k}, \mathbb{R}^u)$$

$$S_n(x_1, x_2, \dots) = (x_n, x_{n+1}, \dots)$$

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only depends on first $n+k$ coordinates
 $\Downarrow$

$$\tilde{A} \in \text{Hom}(\mathbb{R}^{n+k}, \mathbb{R}^n)$$

$$S_n(x_1, x_2, \dots) = (x_n, x_{n+1}, \dots)$$

left n -shift

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Define Ω_s^{fr} as the set of these up to cobordism

The surjectivity proof

Thm (Toussaint-R)

$$S : \pi_n^S \longrightarrow F_n^{\text{prop}}[H]$$

is surjective

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Step 1 manifolds up to cobordism in $\mathbb{R}^e$
 ℓ_{suf}

$\iff$ manifolds up to cobordism in $\mathbb{H}$.

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This is **easy** by Whitney embedding theorem (same reason classifying spaces exist).

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Step 2: Goal: Deform framing to be of finite type.

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 ℓ_{suf}

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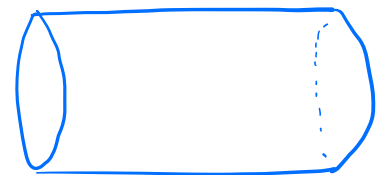
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while preserving $\text{Ker } A|_X = TX$

$$W = I \times X$$

$$B = A_\epsilon$$



Linear algebra

Let $A \in \Phi(H)$

$\exists (n+k)$, $V = (\mathbb{R}^{n+k})^\perp$ s.t.

Goal

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Linear algebra

Let $A \in \Phi(H)$

$\exists (n+k)$, $V = (\mathbb{R}^{n+k})^\perp$ s.t.

- $X \subset \mathbb{R}^{n+k}$
- $\text{Ker } A \cap V = 0$
- $\text{coker}_V A := (A(V))^\perp$ is k -dimensional.

Goal

$$A = \begin{matrix} \mathbb{R}^n & (\mathbb{R}^n)^\perp \\ (\mathbb{R}^n)^\perp & \end{matrix} \begin{pmatrix} \tilde{A} & 0 \\ 0 & S_n \end{pmatrix}$$

Linear algebra

Let $A \in \Phi(H)$

$\exists (n+k)$, $V = (\mathbb{R}^{n+k})^\perp$ s.t.

- $X \subset \mathbb{R}^{n+k}$
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choose $T \in GL(H)$ s.t. $T: A(V)^\perp \rightarrow \mathbb{R}^k$
 $T: A(V) \rightarrow (\mathbb{R}^k)^\perp$

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$$\tau A = \begin{matrix} & \mathbb{R}^{n+k} & V \\ \begin{matrix} \mathbb{R}^k \\ (\mathbb{R}^{n+k})^\perp \end{matrix} & \begin{pmatrix} B & 0 \\ C & D \end{pmatrix} \end{matrix}$$

choose $\tau \in GL(H)$ s.t. $\tau: A(V)^\perp \rightarrow \mathbb{R}^k$
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Goal

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$GL(H)$ is connected so there exists path τ_t from id_H to τ .

hence $A \sim \tau A$ while preserving $\text{Ker } A$

Then

$$\tau A = \begin{matrix} & \mathbb{R}^{n+k} & \checkmark \\ \begin{matrix} \mathbb{R}^k \\ (\mathbb{R}^k)^\perp \end{matrix} & \begin{pmatrix} B & 0 \\ C & D \end{pmatrix} \end{matrix} \quad \begin{matrix} GL(V, (\mathbb{R}^k)^\perp) \text{ is} \\ \text{connected (contractible)} \end{matrix} \quad \begin{matrix} \text{hence there is a path } D_t \\ D \sim S_n \end{matrix}$$

$$\begin{pmatrix} B & 0 \\ (1-t)C & D_t \end{pmatrix} \rightarrow \tau A \sim \begin{pmatrix} B & 0 \\ 0 & S_n \end{pmatrix}$$

Crucial property: if $\ker A \subset \mathbb{R}^{n+k}$ then

$$\ker A_t = \ker A \quad \forall t$$

Pointwise we can solve the problem.

Now: parametrically

K-theory to the rescue!

Let $A: M \rightarrow \underline{\Phi}(H)$ M reasonable.

$\exists (n+k)$, $V = (\mathbb{R}^{n+k})^\perp$ s.t.

- $\text{Ker } A \cap V = 0$
- $\text{coker}_V A := (A(V))^\perp$ is a k dim **vector bundle**.

(Atiyah-Jänich: $[\mathbb{R}^{n+k}] - [\text{coker}_V A] \in K(M)$ is well defined)
 $\underline{\Phi}(H)$ is a classifying space for K)

This thus works parametrically

$$A = \begin{matrix} & \mathbb{R}^{n+1} & V \\ \begin{matrix} A(v)^\perp \\ A(v) \end{matrix} & \begin{pmatrix} \widetilde{B} & 0 \\ \widetilde{C} & \widetilde{D} \end{pmatrix} \end{matrix}$$

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These are trivial vector bundles as H is contractible

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These are trivial vector bundles as H is contractible

hence we can find τ again.

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Main technical goal was that if $\ker A \subseteq \mathbb{R}^{n \times k}$

$\ker A$ is not moved during the deformations

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Main technical goal was that if $\text{Ker } A \subseteq \mathbb{R}^{n \times k}$

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Surjectivity.

Failure of injectivity

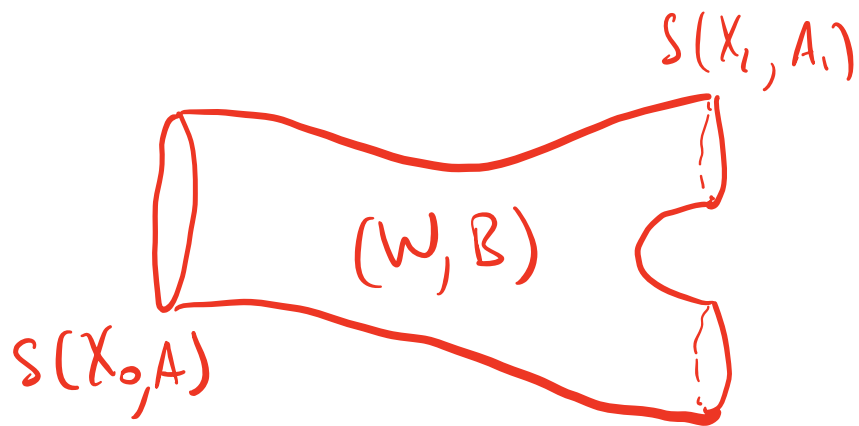
let (X_0, A_0) , (X_1, A_1) *finite dimensionally framed subflds*

when is $S(X_0, A_0) = S(X_1, A_1)$?

Failure of injectivity

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in $\mathcal{H}$

$$\beta: \mathbb{H} \times \mathbb{I} \longrightarrow \Phi_{n+1}(\mathbb{H})$$

- Find n_{t+h+1} and $V = (\mathbb{R}^{n_{t+h+1}})^\perp$ as before

$$\beta : \mathbb{H} \times I \longrightarrow \Phi_{n+1}(\mathbb{H})$$

- Find $n+k+1$ and $V = (\mathbb{R}^{n+k+1})^\perp$ as before
- $\text{coker}_v \beta|_{\mathbb{H} \times I}$ is a trivial bundle.

$$B: \mathbb{H} \times I \longrightarrow \Phi_{n+1}(\mathbb{H})$$

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$$\leadsto \begin{array}{ccc} \widetilde{\text{coker}_V B} & & = \text{coker}_V B / (x_0) \sim (x_1) \\ \downarrow & & \\ \mathbb{H} \times S^1 & & \end{array}$$

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 $\Updownarrow$
 (W, B) is not a suspension
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 This describes a failure

$$[X_0, A_0] = -[X_1, A_1] \in \Omega_n(\mathbb{R}^{n+k})$$

Work in progress (speculative)

Goal: Classify $F_n^{\text{prop}}[M, H]$ $M \cong N \times H$

$\Leftarrow$ fin dim manifold.

What is the suspension operation now?

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Then $Sg: E \oplus H \rightarrow \mathbb{R}^k \oplus H$

$Sg(x, y) = (g(x), y)$
is proper Fredholm

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Hence, for every $\begin{matrix} E \\ \downarrow \\ N \end{matrix}$ we have suspensions

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(Only sees K-theory class of E)

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Conjecture. $\bigcup_{[E] \in K(N)} [E, \mathbb{R}^u]^{\text{prop}} \Big/ \text{Aut}(E) \cong F^{\text{prop}}[M, H]$

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$\swarrow \text{Aut}(E)$

quotient of twisted stable cohomology
of N

" Vector bundles F over $N \times S^1$
st $i_t: N \rightarrow N \times S^1$ $i_t^* F \cong E$.

Thank you for your attention

Arxiv: "Non-linear proper Fredholm maps
and the stable homotopy groups of spheres"